

# ISOMETRIC IMMERSIONS INTO $\mathbb{S}^n \times \mathbb{R}$ AND $\mathbb{H}^n \times \mathbb{R}$ AND APPLICATIONS TO MINIMAL SURFACES

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**ABSTRACT.** We give a necessary and sufficient condition for an  $n$ -dimensional Riemannian manifold to be isometrically immersed in  $\mathbb{S}^n \times \mathbb{R}$  or  $\mathbb{H}^n \times \mathbb{R}$  in terms of its first and second fundamental forms and of the projection of the vertical vector field on its tangent plane. We deduce the existence of a one-parameter family of isometric minimal deformations of a given minimal surface in  $\mathbb{S}^2 \times \mathbb{R}$  or  $\mathbb{H}^2 \times \mathbb{R}$ , obtained by rotating the shape operator.

## 1. INTRODUCTION

It is well known that the first and second fundamental forms of a hypersurface of a Riemannian manifold satisfy two compatibility equations called the Gauss and Codazzi equations. More precisely, let  $\bar{\mathcal{V}}$  be an orientable Riemannian manifold of dimension  $n + 1$  and  $\mathcal{V}$  a submanifold of  $\bar{\mathcal{V}}$  of dimension  $n$ . Let  $\nabla$  (respectively,  $\bar{\nabla}$ ) be the Riemannian connection of  $\mathcal{V}$  (respectively,  $\bar{\mathcal{V}}$ ),  $R$  (respectively,  $\bar{R}$ ) the Riemann curvature tensor of  $\mathcal{V}$  (respectively,  $\bar{\mathcal{V}}$ ), i.e.,

$$R(X, Y)Z = \nabla_Y \nabla_X Z - \nabla_X \nabla_Y Z + \nabla_{[X, Y]} Z,$$

and  $S$  the shape operator of  $\mathcal{V}$  associated to its unit normal  $N$ , i.e.,  $SX = -\bar{\nabla}_X N$ . Then the following equations hold for all vector fields  $X, Y, Z$  on  $\mathcal{V}$ :

$$\begin{aligned} R(X, Y)Z - \bar{R}(X, Y)Z &= \langle SX, Z \rangle SY - \langle SY, Z \rangle SX, \\ \nabla_X SY - \nabla_Y SX - S[X, Y] &= \bar{R}(X, Y)N. \end{aligned}$$

These are respectively the Gauss and Codazzi equations.

In the case where  $\bar{\mathcal{V}}$  is a space form, i.e., the sphere  $\mathbb{S}^{n+1}$ , the Euclidean space  $\mathbb{R}^{n+1}$  or the hyperbolic space  $\mathbb{H}^{n+1}$ , these equations become the following:

$$(1) \quad \begin{aligned} \langle R(X, Y)Z, W \rangle - \kappa(\langle X, Z \rangle \langle Y, W \rangle - \langle Y, Z \rangle \langle X, W \rangle) \\ = \langle SX, Z \rangle \langle SY, W \rangle - \langle SX, W \rangle \langle SY, Z \rangle, \end{aligned}$$

$$(2) \quad \nabla_X SY - \nabla_Y SX - S[X, Y] = 0,$$

where  $\kappa$  is the sectional curvature of  $\bar{\mathcal{V}}$ , i.e.,  $\kappa = 1, 0, -1$  for  $\mathbb{S}^{n+1}$ ,  $\mathbb{R}^{n+1}$  and  $\mathbb{H}^{n+1}$  respectively. Thus the Gauss and Codazzi equations only involve the first and second fundamental forms of  $\mathcal{V}$ ; they are defined *intrinsically* on  $\mathcal{V}$  (as soon as we know  $S$ ). This comes from the fact that these ambient spaces are isotropic. Moreover, in this case the Gauss and Codazzi equations are also sufficient conditions for an  $n$ -dimensional simply connected manifold to be immersed into  $\bar{\mathcal{V}}$  with given first and second fundamental forms: if  $\mathcal{V}$  is a Riemannian manifold endowed with a field  $S$  of

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symmetric operators  $S_y : T_y \mathcal{V} \rightarrow T_y \mathcal{V}$  such that (1) and (2) hold (where  $R$  denotes the Riemann curvature tensor of  $\mathcal{V}$ ), then there exists an isometric immersion from  $\mathcal{V}$  into  $\bar{\mathcal{V}}$  with  $S$  as shape operator. The reader can refer to [Car92], and also to [Ten71] for a proof in the case of  $\mathbb{R}^{n+1}$ .

In the case of a general manifold  $\bar{\mathcal{V}}$ , the Gauss and Codazzi equations are not defined intrinsically on  $\mathcal{V}$ , since the Riemann curvature tensor of the ambient space  $\bar{\mathcal{V}}$  is involved. Yet, in the case where  $\bar{\mathcal{V}} = \mathbb{S}^n \times \mathbb{R}$  or  $\bar{\mathcal{V}} = \mathbb{H}^n \times \mathbb{R}$ , these equations are well defined as soon as we know:

- (1) the projection  $T$  of the vertical vector  $\frac{\partial}{\partial t}$  (corresponding to the factor  $\mathbb{R}$ ) onto the tangent space of  $\mathcal{V}$ ,
- (2) the normal component  $\nu$  of  $\frac{\partial}{\partial t}$ , i.e.,  $\nu = \langle N, \frac{\partial}{\partial t} \rangle$ .

Indeed, the Gauss and Codazzi equations become the following:

$$\begin{aligned} R(X, Y)Z &= \langle SX, Z \rangle SY - \langle SY, Z \rangle SX \\ &\quad + \kappa(\langle X, Z \rangle Y - \langle Y, Z \rangle X - \langle Y, T \rangle \langle X, Z \rangle T \\ &\quad - \langle X, T \rangle \langle Z, T \rangle Y + \langle X, T \rangle \langle Y, Z \rangle T + \langle Y, T \rangle \langle Z, T \rangle X), \end{aligned}$$

$$\nabla_X SY - \nabla_Y SX - S[X, Y] = \kappa \nu (\langle Y, T \rangle X - \langle X, T \rangle Y),$$

where  $\kappa = 1$  and  $\kappa = -1$  for  $\mathbb{S}^n \times \mathbb{R}$  and  $\mathbb{H}^n \times \mathbb{R}$  respectively.

The Gauss equation can be formulated in the following equivalent way: the sectional curvature  $K(P)$  (for the metric of  $\mathcal{V}$ ) of every plane  $P \subset T\mathcal{V}$  satisfies

$$K(P) = \det S_P + \kappa(1 - \|T_P\|^2)$$

where  $S_P$  is the restriction of  $S$  on  $P$  and  $T_P$  the orthogonal projection of  $T$  on  $P$ .

The first aim of this paper is to give a necessary and sufficient condition in order that a Riemannian manifold with a symmetric operator  $S$  can be isometrically immersed into  $\mathbb{S}^n \times \mathbb{R}$  or  $\mathbb{H}^n \times \mathbb{R}$  with  $S$  as shape operator. More precisely, we prove the following theorem.

**Theorem** (theorem 3.3). *Let  $\mathcal{V}$  be a simply connected Riemannian manifold of dimension  $n$ ,  $ds^2$  its metric (which we also denote by  $\langle \cdot, \cdot \rangle$ ) and  $\nabla$  its Riemannian connection. Let  $S$  be a field of symmetric operators  $S_y : T_y \mathcal{V} \rightarrow T_y \mathcal{V}$ ,  $T$  a vector field on  $\mathcal{V}$  and  $\nu$  a smooth function on  $\mathcal{V}$  such that  $\|T\|^2 + \nu^2 = 1$ .*

*Let  $\mathbb{M}^n = \mathbb{S}^n$  or  $\mathbb{M}^n = \mathbb{H}^n$ . Assume that  $(ds^2, S, T, \nu)$  satisfies the Gauss and Codazzi equations for  $\mathbb{M}^n \times \mathbb{R}$  and the following equations:*

$$\nabla_X T = \nu SX, \quad d\nu(X) = -\langle SX, T \rangle.$$

*Then there exists an isometric immersion  $f : \mathcal{V} \rightarrow \mathbb{M}^n \times \mathbb{R}$  such that the shape operator with respect to the normal  $N$  associated to  $f$  is*

$$df \circ S \circ df^{-1}$$

*and such that*

$$\frac{\partial}{\partial t} = df(T) + \nu N.$$

*Moreover the immersion is unique up to a global isometry of  $\mathbb{M}^n \times \mathbb{R}$  preserving the orientations of both  $\mathbb{M}^n$  and  $\mathbb{R}$ .*

The two additional conditions come from the fact that the vertical vector field  $\frac{\partial}{\partial t}$  is parallel.

The method to prove this theorem is similar to that of Tenenblat ([Ten71]): it is based on differential forms, moving frames and integrable distributions.

This work was motivated by the study of minimal surfaces in  $\mathbb{S}^2 \times \mathbb{R}$  and  $\mathbb{H}^2 \times \mathbb{R}$ . There were many recent developments in the theory of these surfaces. Rosenberg ([Ros02b]) studied the geometry of minimal surfaces in  $\mathbb{S}^2 \times \mathbb{R}$ , and more generally in  $M \times \mathbb{R}$  where  $M$  is a surface of non-negative curvature. Nelli and Rosenberg ([NR02]) studied minimal surfaces in  $\mathbb{H}^2 \times \mathbb{R}$  and proved a Jenkins-Serrin theorem. Hauswirth ([Hau03]) constructed many examples in  $\mathbb{H}^2 \times \mathbb{R}$ . Meeks and Rosenberg ([MR03]) initiated the theory of minimal surfaces in  $M \times \mathbb{R}$  where  $M$  is a compact surface. Recently, Abresch and Rosenberg ([AR03]) extended the notion of holomorphic Hopf differential to constant mean curvature surfaces in  $\mathbb{S}^2 \times \mathbb{R}$  and  $\mathbb{H}^2 \times \mathbb{R}$ ; using this holomorphic differential, they proved that all immersed constant mean curvature spheres are embedded and rotational.

In this paper, we use our theorem 3.3 to prove the existence of a one-parameter family of isometric minimal deformations of a given minimal surface in  $\mathbb{S}^2 \times \mathbb{R}$  or  $\mathbb{H}^2 \times \mathbb{R}$ . This family is obtained by rotating the shape operator; hence it is the analog of the associate family of a minimal surface in  $\mathbb{R}^3$ . This is the following theorem.

**Theorem** (theorem 4.2). *Let  $\Sigma$  be a simply connected Riemann surface and  $x : \Sigma \rightarrow \mathbb{M}^2 \times \mathbb{R}$  a conformal minimal immersion. Let  $N$  be the induced normal. Let  $S$  be the symmetric operator on  $\Sigma$  induced by the shape operator of  $x(\Sigma)$ . Let  $T$  be the vector field on  $\Sigma$  such that  $dx(T)$  is the projection of  $\frac{\partial}{\partial t}$  onto  $T(x(\Sigma))$ . Let  $\nu = \langle N, \frac{\partial}{\partial t} \rangle$ .*

*Let  $z_0 \in \Sigma$ . Then there exists a unique family  $(x_\theta)_{\theta \in \mathbb{R}}$  of conformal minimal immersions  $x_\theta : \Sigma \rightarrow \mathbb{M}^2 \times \mathbb{R}$  such that:*

- (1)  $x_\theta(z_0) = x(z_0)$  and  $(dx_\theta)_{z_0} = (dx)_{z_0}$ ,
- (2) the metrics induced on  $\Sigma$  by  $x$  and  $x_\theta$  are the same,
- (3) the symmetric operator on  $\Sigma$  induced by the shape operator of  $x_\theta(\Sigma)$  is  $e^{\theta J}S$ ,
- (4)  $\frac{\partial}{\partial t} = dx_\theta(e^{\theta J}T) + \nu N_\theta$  where  $N_\theta$  is the unit normal to  $x_\theta$ .

*Moreover we have  $x_0 = x$  and the family  $(x_\theta)$  is continuous with respect to  $\theta$ .*

In particular taking  $\theta = \frac{\pi}{2}$  defines a conjugate surface; the geometric properties of conjugate surfaces in  $\mathbb{M}^2 \times \mathbb{R}$  and in  $\mathbb{R}^3$  are similar. Finally, we give examples of conjugate surfaces. In  $\mathbb{S}^2 \times \mathbb{R}$ , we show that helicoids and unduloids are conjugate. In  $\mathbb{H}^2 \times \mathbb{R}$ , we show that helicoids are conjugated to catenoids or to minimal surfaces foliated by horizontal curves of constant curvature belonging to the Hauswirth family (see [Hau03]).

## 2. PRELIMINARIES

*Notations.* In this paper we will use the following index conventions: Latin letters  $i, j$ , etc, denote integers between 1 and  $n$ , Greek letters  $\alpha, \beta$ , etc, denote integers between 0 and  $n + 1$ . For example, the notation  $A_j^i = B_j^i$  means that this relation holds for all integers  $i, j$  between 1 and  $n$ , the notation  $\sum_\alpha C_\alpha$  means  $C_0 + C_1 + \dots + C_{n+1}$ .

The set of vector fields on a Riemannian manifold  $\mathcal{V}$  will be denoted by  $\mathfrak{X}(\mathcal{V})$ .

We denote by  $\frac{\partial}{\partial t}$  the unit vector giving the orientation of  $\mathbb{R}$  in  $\mathbb{M}^n \times \mathbb{R}$ ; we call it the vertical vector.

**2.1. The compatibility equations in  $\mathbb{M}^n \times \mathbb{R}$ .** Let  $\mathbb{M}^n = \mathbb{S}^n$  or  $\mathbb{M}^n = \mathbb{H}^n$ ; in the first case we set  $\kappa = 1$  and in the second case we set  $\kappa = -1$ . Let  $\bar{\mathbb{R}}$  be the

Riemann curvature tensor of  $\mathbb{M}^n \times \mathbb{R}$ . Let  $\mathcal{V}$  be an oriented hypersurface of  $\mathbb{M}^n \times \mathbb{R}$  and  $N$  the unit normal to  $\mathcal{V}$ .

**Proposition 2.1.** *For  $X, Y, Z, W \in \mathfrak{X}(\mathcal{V})$  we have*

$$\begin{aligned} \langle \bar{R}(X, Y)Z, W \rangle &= \kappa(\langle X, Z \rangle \langle Y, W \rangle - \langle Y, Z \rangle \langle X, W \rangle \\ &\quad - \langle Y, T \rangle \langle W, T \rangle \langle X, Z \rangle - \langle X, T \rangle \langle Z, T \rangle \langle Y, W \rangle \\ &\quad + \langle X, T \rangle \langle W, T \rangle \langle Y, Z \rangle + \langle Y, T \rangle \langle Z, T \rangle \langle X, W \rangle), \end{aligned}$$

$$\langle \bar{R}(X, Y)N, Z \rangle = \kappa\nu(\langle X, Z \rangle \langle Y, T \rangle - \langle Y, Z \rangle \langle X, T \rangle),$$

where

$$\nu = \left\langle N, \frac{\partial}{\partial t} \right\rangle$$

and  $T$  is the projection of  $\frac{\partial}{\partial t}$  on  $T\mathcal{V}$ , i.e.,

$$T = \frac{\partial}{\partial t} - \nu N.$$

*Proof.* Any vector field on  $\mathbb{M}^n \times \mathbb{R}$  can be written  $X(m, t) = (X_{\mathbb{M}^n}^t(m), X_{\mathbb{R}}^m(t))$  where, for each  $t \in \mathbb{R}$ ,  $X_{\mathbb{M}^n}^t$  is a vector field on  $\mathbb{M}^n$ , and, for each  $m \in \mathbb{M}^n$ ,  $X_{\mathbb{R}}^m$  is a vector field on  $\mathbb{R}$ . Then for  $X, Y, Z, W \in \mathfrak{X}(\mathbb{M}^n \times \mathbb{R})$  we have

$$\begin{aligned} \langle \bar{R}(X, Y)Z, W \rangle &= \langle \bar{R}_{\mathbb{M}^n}(X_{\mathbb{M}^n}, Y_{\mathbb{M}^n})Z_{\mathbb{M}^n}, W_{\mathbb{M}^n} \rangle \\ &= \kappa(\langle X_{\mathbb{M}^n}, Z_{\mathbb{M}^n} \rangle \langle Y_{\mathbb{M}^n}, W_{\mathbb{M}^n} \rangle - \langle Y_{\mathbb{M}^n}, Z_{\mathbb{M}^n} \rangle \langle X_{\mathbb{M}^n}, W_{\mathbb{M}^n} \rangle). \end{aligned}$$

We have  $X_{\mathbb{M}^n} = X - \langle X, \frac{\partial}{\partial t} \rangle \frac{\partial}{\partial t}$ . Thus, if  $X \in T\mathcal{V}$ , we have  $X_{\mathbb{M}^n} = X - \langle X, T \rangle \frac{\partial}{\partial t}$ , and similar expressions for  $Y, Z, W \in T\mathcal{V}$ . A computation gives the expected formula for  $\langle \bar{R}(X, Y)Z, W \rangle$ .

Finally we have  $N_{\mathbb{M}^n} = N - \nu \frac{\partial}{\partial t}$ , so a computation gives the expected formula for  $\langle \bar{R}(X, Y)N, Z \rangle$ .  $\square$

Using the fact that the vector field  $\frac{\partial}{\partial t}$  is parallel, we obtain the following equations.

**Proposition 2.2.** *For  $X \in \mathfrak{X}(\mathcal{V})$  we have*

$$\nabla_X T = \nu SX, \quad d\nu(X) = -\langle SX, T \rangle.$$

*Proof.* We have  $\frac{\partial}{\partial t} = T + \nu N$  and  $\bar{\nabla}_X \frac{\partial}{\partial t} = 0$ . Thus we get

$$0 = \bar{\nabla}_X T + (d\nu(X))N + \nu \bar{\nabla}_X N = \nabla_X T + \langle SX, T \rangle N + (d\nu(X))N - \nu SX.$$

Taking the tangential and the normal components in this equality, we obtain the expected formulas.  $\square$

**Remark 2.3.** In the case of an orthonormal pair  $(X, Y)$  we get

$$\langle \bar{R}(X, Y)X, Y \rangle = \kappa(1 - \langle Y, T \rangle^2 - \langle X, T \rangle^2).$$

The reader can also refer to section 3.2 in [AR03].

**2.2. Moving frames.** In this section we introduce some material about the technique of moving frames. The reader can also refer to [Ros02a].

Let  $\mathcal{V}$  be a Riemannian manifold of dimension  $n$ ,  $\nabla$  its Levi-Civita connection, and  $R$  the Riemannian curvature tensor. Let  $S$  be a field of symmetric operators  $S_y : T_y \mathcal{V} \rightarrow T_y \mathcal{V}$ . Let  $(e_1, \dots, e_n)$  be a local orthonormal frame on  $\mathcal{V}$  and  $(\omega^1, \dots, \omega^n)$  the dual basis of  $(e_1, \dots, e_n)$ , i.e.,

$$\omega^i(e_k) = \delta_k^i.$$

We also set

$$\omega^{n+1} = 0.$$

We define the forms  $\omega_j^i$ ,  $\omega_j^{n+1}$ ,  $\omega_{n+1}^i$  and  $\omega_{n+1}^{n+1}$  on  $\mathcal{V}$  by

$$\omega_j^i(e_k) = \langle \nabla_{e_k} e_j, e_i \rangle, \quad \omega_j^{n+1}(e_k) = \langle S e_k, e_j \rangle,$$

$$\omega_{n+1}^j = -\omega_j^{n+1}, \quad \omega_{n+1}^{n+1} = 0.$$

Then we have

$$\nabla_{e_k} e_j = \sum_i \omega_j^i(e_k) e_i, \quad S e_k = \sum_j \omega_j^{n+1}(e_k) e_j.$$

Finally we set  $R_{klj}^i = \langle R(e_k, e_l) e_j, e_i \rangle$ .

**Proposition 2.4.** *We have the following formulas:*

$$(3) \quad d\omega^i + \sum_p \omega_p^i \wedge \omega^p = 0,$$

$$(4) \quad \sum_p \omega_p^{n+1} \wedge \omega^p = 0,$$

$$(5) \quad d\omega_j^i + \sum_p \omega_p^i \wedge \omega_j^p = -\frac{1}{2} \sum_k \sum_l R_{klj}^i \omega^k \wedge \omega^l,$$

$$(6) \quad d\omega_j^{n+1} + \sum_p \omega_p^{n+1} \wedge \omega_j^p = \frac{1}{2} \sum_k \sum_l \langle \nabla_{e_k} S e_l - \nabla_{e_l} S e_k - S[e_k, e_l], e_j \rangle \omega^k \wedge \omega^l.$$

*Proof.* These are well known formulas. However, since our conventions slightly differ from those of [Ten71] and [Ros02a], we give a proof for sake of clarity.

We have  $d\omega^i(e_p, e_q) = -\omega^i([e_p, e_q]) = -\omega^i(\nabla_{e_p} e_q - \nabla_{e_q} e_p) = -\omega_q^i(e_p) + \omega_p^i(e_q)$  and  $\sum_k \omega_k^i \wedge \omega^k(e_p, e_q) = \omega_q^i(e_p) - \omega_p^i(e_q)$ , so (3) is proved. And we have  $\sum_k (\omega_k^{n+1} \wedge \omega^k)(e_p, e_q) = \omega_q^{n+1}(e_p) - \omega_p^{n+1}(e_q) = \langle S e_p, e_q \rangle - \langle S e_q, e_p \rangle = 0$ , so (4) is proved.

We have  $\omega_j^i = \sum_k \langle e_i, \nabla_{e_k} e_j \rangle \omega^k$ , so

$$\begin{aligned} d\omega_j^i &= \sum_k \sum_l e_l \langle e_i, \nabla_{e_k} e_j \rangle \omega^l \wedge \omega^k + \sum_k \langle e_i, \nabla_{e_k} e_j \rangle d\omega^k \\ &= \sum_k \sum_l (\langle \nabla_{e_l} e_i, \nabla_{e_k} e_j \rangle + \langle e_i, \nabla_{e_l} \nabla_{e_k} e_j \rangle) \omega^l \wedge \omega^k \\ &\quad - \sum_k \sum_l \langle e_i, \nabla_{e_k} e_j \rangle \omega_l^k \wedge \omega^l. \end{aligned}$$

Moreover we have

$$\begin{aligned} \sum_k \sum_l \langle e_i, \nabla_{e_k} e_j \rangle \omega_l^k \wedge \omega^l &= \sum_k \sum_l \sum_q \langle e_i, \nabla_{e_k} e_j \rangle \langle e_k, \nabla_{e_q} e_l \rangle \omega^q \wedge \omega^l \\ &= \sum_l \sum_q \langle e_i, \nabla_{\nabla_{e_q} e_l} e_j \rangle \omega^q \wedge \omega^l. \end{aligned}$$

On the other hand we have

$$\begin{aligned} \sum_p \omega_p^i \wedge \omega_j^p &= \sum_k \sum_l \sum_p \langle e_i, \nabla_{e_l} e_p \rangle \langle e_p, \nabla_{e_k} e_j \rangle \omega^l \wedge \omega^k \\ &= - \sum_k \sum_l \sum_p \langle \nabla_{e_l} e_i, e_p \rangle \langle e_p, \nabla_{e_k} e_j \rangle \omega^l \wedge \omega^k \\ &= - \sum_k \sum_l \langle \nabla_{e_l} e_i, \nabla_{e_k} e_j \rangle \omega^l \wedge \omega^k. \end{aligned}$$

Thus we conclude that

$$d\omega_j^i + \sum_p \omega_p^i \wedge \omega_j^p = \sum_k \sum_l \langle e_i, \nabla_{e_l} \nabla_{e_k} e_j - \nabla_{\nabla_{e_l} e_k} e_j \rangle \omega^l \wedge \omega^k.$$

Adding this equality with itself after exchanging  $k$  and  $l$  and using the fact that  $\omega^k \wedge \omega^l = -\omega^l \wedge \omega^k$ , we get

$$2 \left( d\omega_j^i + \sum_p \omega_p^i \wedge \omega_j^p \right) = \sum_k \sum_l \langle e_i, R(e_k, e_l) e_j \rangle \omega^l \wedge \omega^k,$$

and finally we get (5).

We have  $\omega_j^{n+1} = \sum_k \langle Se_k, e_j \rangle \omega^k$ , so

$$\begin{aligned} d\omega_j^{n+1} &= \sum_k \sum_l e_l \langle Se_k, e_j \rangle \omega^l \wedge \omega^k + \sum_k \langle Se_k, e_j \rangle d\omega^k \\ &= \sum_k \sum_l (\langle \nabla_{e_l} Se_k, e_j \rangle + \langle Se_k, \nabla_{e_l} e_j \rangle) \omega^l \wedge \omega^k - \sum_k \sum_l \langle Se_k, e_j \rangle \omega_l^k \wedge \omega^l. \end{aligned}$$

Moreover we have

$$\begin{aligned} \sum_k \sum_l \langle Se_k, e_j \rangle \omega_l^k \wedge \omega^l &= \sum_k \sum_l \sum_q \langle Se_k, e_j \rangle \langle e_k, \nabla_{e_q} e_l \rangle \omega^q \wedge \omega^l \\ &= \sum_l \sum_q \langle Se_j, \nabla_{e_q} e_l \rangle \omega^q \wedge \omega^l. \end{aligned}$$

On the other hand we have

$$\begin{aligned} \sum_p \omega_p^{n+1} \wedge \omega_j^p &= \sum_k \sum_p \langle Se_k, e_p \rangle \omega^k \wedge \omega_j^p \\ &= \sum_k \sum_p \sum_l \langle Se_k, e_p \rangle \langle e_p, \nabla_{e_l} e_j \rangle \omega^k \wedge \omega^l \\ &= \sum_k \sum_l \langle Se_k, \nabla_{e_l} e_j \rangle \omega^k \wedge \omega^l. \end{aligned}$$

Thus we conclude that

$$\begin{aligned} d\omega_j^{n+1} + \sum_p \omega_p^{n+1} \wedge \omega_j^p &= \sum_k \sum_l (\langle \nabla_{e_l} S e_k, e_j \rangle - \langle S e_j, \nabla_{e_l} e_k \rangle) \omega^l \wedge \omega^k \\ &= \sum_k \sum_l \langle e_j, \nabla_{e_l} S e_k - S \nabla_{e_l} e_k \rangle \omega^l \wedge \omega^k. \end{aligned}$$

Adding this equality with itself after exchanging  $k$  and  $l$  and using the fact that  $\omega^k \wedge \omega^l = -\omega^l \wedge \omega^k$ , we get

$$2 \left( d\omega_j^{n+1} + \sum_p \omega_p^{n+1} \wedge \omega_j^p \right) = \sum_k \sum_l \langle e_j, \nabla_{e_l} S e_k - \nabla_{e_k} S e_l - S[e_l, e_k] \rangle \omega^l \wedge \omega^k,$$

and finally we get (6).  $\square$

**2.3. Some facts about hypersurfaces of  $\mathbb{S}^n \times \mathbb{R}$  and  $\mathbb{H}^n \times \mathbb{R}$ .** In this section we consider an orientable hypersurface  $\mathcal{V}$  of  $\mathbb{M}^n \times \mathbb{R}$  with  $\mathbb{M}^n = \mathbb{S}^n$  or  $\mathbb{M}^n = \mathbb{H}^n$ .

We denote by  $\mathbb{L}^p$  the  $p$ -dimensional Lorentz space, i.e.,  $\mathbb{R}^p$  endowed with the quadratic form

$$-(dx^0)^2 + (dx^1)^2 + \dots + (dx^{p-1})^2.$$

We will use the following inclusions: we have

$$\mathbb{S}^n = \{(x^0, \dots, x^n) \in \mathbb{R}^{n+1}; (x^0)^2 + \sum_i (x^i)^2 = 1\}$$

and so

$$\mathbb{S}^n \times \mathbb{R} \subset \mathbb{R}^{n+1} \times \mathbb{R} = \mathbb{R}^{n+2},$$

and we have

$$\mathbb{H}^n = \{(x^0, \dots, x^n) \in \mathbb{L}^{n+1}; -(x^0)^2 + \sum_i (x^i)^2 = -1, x^0 > 0\}$$

and so

$$\mathbb{H}^n \times \mathbb{R} \subset \mathbb{L}^{n+1} \times \mathbb{R} = \mathbb{L}^{n+2}.$$

In the case of  $\mathbb{S}^n \times \mathbb{R}$  we set  $\kappa = 1$  and  $\mathbb{E}^{n+2} = \mathbb{R}^{n+2}$ . In the case of  $\mathbb{H}^n \times \mathbb{R}$  we set  $\kappa = -1$  and  $\mathbb{E}^{n+2} = \mathbb{L}^{n+2}$ .

We denote by  $\nabla$ ,  $\bar{\nabla}$  and  $\bar{\bar{\nabla}}$  the connections of  $\mathcal{V}$ ,  $\mathbb{M}^n \times \mathbb{R}$  and  $\mathbb{E}^{n+2}$  respectively, by  $\bar{N}(x)$  the normal to  $\mathbb{M}^n \times \mathbb{R}$  in  $\mathbb{E}^{n+2}$  at a point  $x \in \mathbb{M}^n \times \mathbb{R}$ , i.e.,

$$\bar{N}(x) = (x^0, \dots, x^n, 0),$$

and by  $N(x)$  the normal to  $\mathcal{V}$  in  $\mathbb{M}^n \times \mathbb{R}$  at a point  $x \in \mathcal{V}$ . We denote by  $S$  the shape operator of  $\mathcal{V}$  in  $\mathbb{M}^n \times \mathbb{R}$ . The shape operator of  $\mathbb{M}^n \times \mathbb{R}$  is  $\bar{S}X = -\kappa d\bar{N}(X) = \kappa(-X + \langle X, \frac{\partial}{\partial t} \rangle \frac{\partial}{\partial t})$ ; we should be careful with the sign convention in the definition of the shape operator: here we have chosen

$$\bar{\bar{\nabla}}_X Y = \bar{\nabla}_X Y + \langle \bar{S}X, Y \rangle \bar{N},$$

i.e.,

$$\langle \bar{S}X, Y \rangle = \kappa \langle \bar{\bar{\nabla}}_X Y, \bar{N} \rangle,$$

because in the case of  $\mathbb{S}^n \times \mathbb{R}$  we have  $\langle \bar{N}, \bar{N} \rangle = 1$  whereas in the case of  $\mathbb{H}^n \times \mathbb{R}$  we have  $\langle \bar{N}, \bar{N} \rangle = -1$ .

Let  $(e_1, \dots, e_n)$  be a local orthonormal frame on  $\mathcal{V}$ ,  $e_{n+1} = N$  and  $e_0 = \bar{N}$  (on  $\mathcal{V}$ ). We define the forms  $\omega_j^i$ ,  $\omega_j^{n+1}$ ,  $\omega_{n+1}^i$  and  $\omega_{n+1}^{n+1}$  as in section 2.2. Moreover we set

$$\omega_\gamma^0(e_k) = \langle \bar{S}e_k, e_\gamma \rangle = -\kappa \langle e_k, e_\gamma \rangle + \kappa \left\langle e_k, \frac{\partial}{\partial t} \right\rangle \left\langle e_\gamma, \frac{\partial}{\partial t} \right\rangle,$$

$$\omega_0^\gamma = -\kappa \omega_\gamma^0.$$

With these definitions we have

$$\bar{\bar{\nabla}}_{e_k} e_\beta = \sum_\alpha \omega_\beta^\alpha(e_k) e_\alpha.$$

Let  $(E_0, \dots, E_{n+1})$  be the canonical frame of  $\mathbb{E}^{n+2}$  (with  $\langle E_0, E_0 \rangle = \kappa$  and  $E_{n+1} = \frac{\partial}{\partial t}$ ). Let  $A \in \mathcal{M}_{n+2}(\mathbb{R})$  be the matrix (the indices going from 0 to  $n+1$ ) whose columns are the coordinates of the  $e_\beta$  in the frame  $(E_\alpha)$ , i.e.,

$$e_\beta = \sum_\alpha A_\beta^\alpha E_\alpha.$$

Then, on the one hand we have

$$\bar{\bar{\nabla}}_{e_k} e_\beta = \sum_\alpha dA_\beta^\alpha(e_k) E_\alpha,$$

and on the other hand we have

$$\bar{\bar{\nabla}}_{e_k} e_\beta = \sum_\alpha \sum_\gamma \omega_\beta^\gamma(e_k) A_\gamma^\alpha E_\alpha.$$

Thus we have

$$A^{-1} dA = \Omega$$

with  $\Omega = (\omega_\beta^\alpha) \in \mathcal{M}_{n+2}(\mathbb{R})$ , the indices going from 0 to  $n+1$ .

Setting  $G = \text{diag}(\kappa, 1, \dots, 1) \in \mathcal{M}_{n+2}(\mathbb{R})$ , we have

$$A \in \text{SO}^+(\mathbb{E}^{n+2}), \quad \Omega \in \mathfrak{so}(\mathbb{E}^{n+2})$$

where  $\text{SO}^+(\mathbb{E}^{n+2})$  is the connected component of  $\text{I}_{n+2}$  in

$$\text{SO}(\mathbb{E}^{n+2}) = \{Z \in \mathcal{M}_{n+2}(\mathbb{R}); {}^t Z G Z = G, \det Z = 1\},$$

and where

$$\mathfrak{so}(\mathbb{E}^{n+2}) = \{H \in \mathcal{M}_{n+2}(\mathbb{R}); {}^t H G + G H = 0\}.$$

In the case of  $\mathbb{S}^n \times \mathbb{R}$  we have  $\text{SO}^+(\mathbb{E}^{n+2}) = \text{SO}(\mathbb{R}^{n+2})$ .

### 3. ISOMETRIC IMMERSIONS INTO $\mathbb{S}^n \times \mathbb{R}$ AND $\mathbb{H}^n \times \mathbb{R}$

**3.1. The compatibility equations.** We consider a simply connected Riemannian manifold  $\mathcal{V}$  of dimension  $n$ . Let  $ds^2$  be the metric on  $\mathcal{V}$  (we will also denote it by  $\langle \cdot, \cdot \rangle$ ),  $\nabla$  the Riemannian connection of  $\mathcal{V}$  and  $R$  its Riemann curvature tensor. Let  $S$  be a field of symmetric operators  $S_y : T_y \mathcal{V} \rightarrow T_y \mathcal{V}$ ,  $T$  a vector field on  $\mathcal{V}$  such that  $\|T\| \leq 1$  and  $\nu$  a smooth function on  $\mathcal{V}$  such that  $\nu^2 \leq 1$ .

The compatibility equations for hypersurfaces in  $\mathbb{S}^n \times \mathbb{R}$  and  $\mathbb{H}^n \times \mathbb{R}$  established in section 2.1 suggest to introduce the following definition.



**Definition 3.1.** We say that  $(ds^2, S, T, \nu)$  satisfies the compatibility equations respectively for  $\mathbb{S}^n \times \mathbb{R}$  and  $\mathbb{H}^n \times \mathbb{R}$  if

$$||T||^2 + \nu^2 = 1$$

and, for all  $X, Y, Z \in \mathfrak{X}(\mathcal{V})$ ,

$$(7) \quad \begin{aligned} R(X, Y)Z &= \langle SX, Z \rangle SY - \langle SY, Z \rangle SX \\ &+ \kappa(\langle X, Z \rangle Y - \langle Y, Z \rangle X - \langle Y, T \rangle \langle X, Z \rangle T \\ &- \langle X, T \rangle \langle Z, T \rangle Y + \langle X, T \rangle \langle Y, Z \rangle T + \langle Y, T \rangle \langle Z, T \rangle X), \end{aligned}$$

$$(8) \quad \nabla_X SY - \nabla_Y SX - S[X, Y] = \kappa \nu (\langle Y, T \rangle X - \langle X, T \rangle Y),$$

$$(9) \quad \nabla_X T = \nu SX,$$

$$(10) \quad d\nu(X) = -\langle SX, T \rangle,$$

where  $\kappa = 1$  and  $\kappa = -1$  for  $\mathbb{S}^n \times \mathbb{R}$  and  $\mathbb{H}^n \times \mathbb{R}$  respectively.

**Remark 3.2.** We notice that (9) implies (10) except when  $\nu = 0$  (by differentiating the identity  $\langle T, T \rangle + \nu^2 = 1$  with respect to  $X$ ).

**3.2. Codimension 1 isometric immersions into  $\mathbb{S}^n \times \mathbb{R}$  and  $\mathbb{H}^n \times \mathbb{R}$ .** In this section we will prove the following theorem.

**Theorem 3.3.** *Let  $\mathcal{V}$  be a simply connected Riemannian manifold of dimension  $n$ ,  $ds^2$  its metric and  $\nabla$  its Riemannian connection. Let  $S$  be a field of symmetric operators  $S_y : T_y \mathcal{V} \rightarrow T_y \mathcal{V}$ ,  $T$  a vector field on  $\mathcal{V}$  and  $\nu$  a smooth function on  $\mathcal{V}$  such that  $||T||^2 + \nu^2 = 1$ .*

*Let  $\mathbb{M}^n = \mathbb{S}^n$  or  $\mathbb{M}^n = \mathbb{H}^n$ . Assume that  $(ds^2, S, T, \nu)$  satisfies the compatibility equations for  $\mathbb{M}^n \times \mathbb{R}$ . Then there exists an isometric immersion  $f : \mathcal{V} \rightarrow \mathbb{M}^n \times \mathbb{R}$  such that the shape operator with respect to the normal  $N$  associated to  $f$  is*

$$df \circ S \circ df^{-1}$$

and such that

$$\frac{\partial}{\partial t} = df(T) + \nu N.$$

Moreover the immersion is unique up to a global isometry of  $\mathbb{M}^n \times \mathbb{R}$  preserving the orientations of both  $\mathbb{M}^n$  and  $\mathbb{R}$ .

To prove this theorem, we consider a local orthonormal frame  $(e_1, \dots, e_n)$  on  $\mathcal{V}$  and the forms  $\omega^i, \omega^{n+1}, \omega_j^i, \omega_j^{n+1}, \omega_{n+1}^i$  and  $\omega_{n+1}^{n+1}$  as in section 2.2. We set  $\mathbb{E}^{n+2} = \mathbb{R}^{n+2}$  or  $\mathbb{E}^{n+2} = \mathbb{L}^{n+2}$  (according to  $\mathbb{M}^n$ ). We denote by  $(E_0, \dots, E_{n+1})$  the canonical frame of  $\mathbb{E}^{n+2}$  (with  $\langle E_0, E_0 \rangle = -1$  in the case of  $\mathbb{L}^{n+2}$ ); in particular we have  $E_{n+1} = \frac{\partial}{\partial t}$ . We set

$$T^k = \langle T, e_k \rangle, \quad T^{n+1} = \nu, \quad T^0 = 0.$$

Moreover we set

$$\begin{aligned} \omega_j^0(e_k) &= \kappa(T^j T^k - \delta_j^k), \quad \omega_{n+1}^0(e_k) = \kappa \nu T^k, \\ \omega_0^i &= -\kappa \omega_i^0, \quad \omega_0^{n+1} = -\kappa \omega_{n+1}^0, \quad \omega_0^0 = 0. \end{aligned}$$

We define the one-form  $\eta$  on  $\mathcal{V}$  by

$$\eta(X) = \langle T, X \rangle.$$

In the frame  $(e_1, \dots, e_n)$  we have  $\eta = \sum_k T^k \omega^k$ . Finally we define the following matrix of one-forms:

$$\Omega = (\omega_{\beta}^{\alpha}) \in \mathcal{M}_{n+2}(\mathbb{R}),$$

the indices going from 0 to  $n+1$ .

From now on we assume that the hypotheses of theorem 3.3 are satisfied. We first prove some technical lemmas that are consequences of the compatibility equations.

**Lemma 3.4.** *We have*

$$d\eta = 0.$$

*Proof.* We have

$$\begin{aligned} d\eta(X, Y) &= X \cdot \eta(Y) - Y \cdot \eta(X) - \eta([X, Y]) \\ &= \langle \nabla_X T, Y \rangle - \langle \nabla_Y T, X \rangle \\ &= \langle \nu S X, Y \rangle - \langle \nu S Y, X \rangle \\ &= 0. \end{aligned}$$

We have used condition (9). □

**Lemma 3.5.** *We have*

$$dT^{\alpha} = \sum_{\gamma} T^{\gamma} \omega_{\alpha}^{\gamma}.$$

*Proof.* This is a consequence of condition (9) for  $\alpha = j$ , of condition (10) for  $\alpha = n+1$ , and of the definitions for  $\alpha = 0$ . □

**Lemma 3.6.** *We have*

$$d\Omega + \Omega \wedge \Omega = 0.$$

*Proof.* We set  $\Psi = d\Omega + \Omega \wedge \Omega$  and  $R_{klj}^i = \langle R(e_k, e_l)e_j, e_i \rangle$ .

By proposition 2.4 we have

$$\Psi_j^i = -\frac{1}{2} \sum_k \sum_l R_{klj}^i \omega^k \wedge \omega^l + \omega_{n+1}^i \wedge \omega_j^{n+1} + \omega_0^i \wedge \omega_j^0.$$

Since the Gauss equation (7) is satisfied we have

$$R_{klj}^i = \bar{R}_{klj}^i + \omega_j^{n+1} \wedge \omega_i^{n+1}(e_k, e_l)$$

with

$$\bar{R}_{klj}^i = \kappa(\delta_j^k \delta_i^l - \delta_j^l \delta_i^k - T^l T^i \delta_j^k - T^k T^j \delta_i^l + T^k T^i \delta_j^l + T^l T^j \delta_i^k).$$

On the other hand, a computation shows that  $\omega_0^i \wedge \omega_j^0(e_k, e_l) = \bar{R}_{klj}^i$ . Thus we have  $R_{klj}^i = \omega_{n+1}^i \wedge \omega_j^{n+1}(e_k, e_l) + \omega_0^i \wedge \omega_j^0(e_k, e_l)$ . We conclude that  $\Psi_j^i = 0$ .

By proposition 2.4 we have

$$\Psi_j^{n+1} = \frac{1}{2} \sum_k \sum_l \langle \nabla_{e_k} S e_l - \nabla_{e_l} S e_k - S[e_k, e_l], e_j \rangle \omega^k \wedge \omega^l + \omega_0^{n+1} \wedge \omega_j^0.$$

Since the Codazzi equation (8) is satisfied we have

$$\langle \nabla_{e_k} S e_l - \nabla_{e_l} S e_k - S[e_k, e_l], e_j \rangle = \kappa(T^l T^{n+1} \delta_j^k - T^k T^{n+1} \delta_j^l).$$

On the other hand, a computation shows that  $\omega_0^{n+1} \wedge \omega_j^0(e_k, e_l) = \kappa(T^k T^{n+1} \delta_j^l - T^l T^{n+1} \delta_j^k)$ . We conclude that  $\Psi_j^{n+1} = 0$ .

We have  $\omega_j^0 = \kappa(T^j \eta - \omega^j)$ . Since  $d\eta = 0$  (by lemma 3.4) we get

$$d\omega_j^0 = \kappa(dT^j \wedge \eta - d\omega^j) = \kappa dT^j \wedge \eta + \kappa \sum_k \omega_k^j \wedge \omega^k$$

by proposition 2.4. Thus by a straightforward computation we get

$$\begin{aligned} \Psi_j^0(e_p, e_q) &= d\omega_j^0(e_p, e_q) + \sum_k \omega_k^0 \wedge \omega_j^k(e_p, e_q) + \omega_{n+1}^0 \wedge \omega_j^{n+1}(e_p, e_q) \\ &= \kappa(dT^j(e_p)\eta(e_q) - dT^j(e_q)\eta(e_p) + \omega_q^j(e_p) - \omega_p^j(e_q)) \\ &\quad + \kappa \left( T^p \sum_k T^k \omega_j^k(e_q) - T^q \sum_k T^k \omega_j^k(e_p) - \omega_j^p(e_q) + \omega_j^q(e_p) \right) \\ &\quad + \kappa (T^p T^{n+1} \omega_j^{n+1}(e_q) - T^q T^{n+1} \omega_j^{n+1}(e_p)). \end{aligned}$$

Using the definition of  $\eta$  and lemma 3.5 for  $\alpha = j$ , we conclude that  $\hat{\Psi}_j^{n+2} = 0$ .

We have  $\omega_{n+1}^0 = \kappa T^{n+1} \eta$ , and so  $d\omega_{n+1}^0 = \kappa dT^{n+1} \wedge \eta$  by lemma 3.4. Thus by a straightforward computation we get

$$\begin{aligned} \Psi_{n+1}^0(e_p, e_q) &= d\omega_{n+1}^0(e_p, e_q) + \sum_k \omega_k^0 \wedge \omega_{n+1}^k(e_p, e_q) \\ &= \kappa(T^q dT^{n+1}(e_p) - T^p dT^{n+1}(e_q)) \\ &\quad + \kappa \left( T^p \sum_k T^k \omega_{n+1}^k(e_q) - T^q \sum_k T^k \omega_{n+1}^k(e_p) \right) \\ &\quad + \kappa(-\omega_{n+1}^p(e_q) + \omega_{n+1}^q(e_p)). \end{aligned}$$

The last two terms cancel because  $S$  is symmetric. Using lemma 3.5 for  $\alpha = n+1$ , we conclude that  $\Psi_{n+1}^0 = 0$ .

The fact that  $\Psi_0^0 = 0$  and  $\Psi_{n+1}^{n+1} = 0$  is clear. We conclude by noticing that  $\Psi_{n+1}^i = -\Psi_i^{n+1} = 0$ .  $\square$

For  $y \in \mathcal{V}$ , let  $\mathcal{Z}(y)$  be the set of matrices  $Z \in \text{SO}^+(\mathbb{E}^{n+2})$  such that the coefficients of the last line of  $Z$  are the  $T^\beta(y)$ . It is a manifold of dimension  $\frac{n(n+1)}{2}$  (since the map  $F : \text{SO}^+(\mathbb{E}^{n+2}) \rightarrow \mathbb{S}(\mathbb{E}^{n+2})$ ,  $Z \mapsto (Z_\beta^{n+1})_\beta$  (i.e.,  $F(Z)$  is the last line of  $Z$ ), where  $\mathbb{S}(\mathbb{E}^{n+2}) = \{x \in \mathbb{E}^{n+2}; \langle E, E \rangle = 1\}$ , is a submersion).

We now prove the following proposition.

**Proposition 3.7.** *Assume that the compatibility equations for  $\mathbb{M}^n \times \mathbb{R}$  are satisfied. Let  $y_0 \in \mathcal{V}$  and  $A_0 \in \mathcal{Z}(y_0)$ . Then there exist a neighbourhood  $U_1$  of  $y_0$  in  $\mathcal{V}$  and a unique map  $A : U_1 \rightarrow \text{SO}^+(\mathbb{E}^{n+2})$  such that*

$$\begin{aligned} A^{-1} dA &= \Omega, \\ \forall y \in U_1, \quad A(y) &\in \mathcal{Z}(y), \\ A(y_0) &= A_0. \end{aligned}$$

*Proof.* Let  $U$  be a coordinate neighbourhood in  $\mathcal{V}$ . The set

$$\mathcal{F} = \{(y, Z) \in U \times \text{SO}^+(\mathbb{E}^{n+2}); Z \in \mathcal{Z}(y)\}$$

is a manifold of dimension  $n + \frac{n(n+1)}{2}$ , and

$$\text{T}_{(y,Z)} \mathcal{F} = \{(u, \zeta) \in \text{T}_y U \oplus \text{T}_Z \text{SO}^+(\mathbb{E}^{n+2}); \zeta_\beta^{n+1} = (dT^\beta)_y(u)\}.$$

Indeed, in the neighbourhood of point of  $U$  there exists a map  $y \mapsto M(y) \in \mathrm{SO}^+(\mathbb{E}^{n+2})$  such that the last line of  $M(y)$  is  $(T^\beta(y))_\beta$ , and we have  $Z \in \mathcal{Z}(y)$  if and only if

$$ZM(y)^{-1} = \begin{pmatrix} B & 0 \\ 0 & 1 \end{pmatrix}$$

for some  $B \in \mathrm{SO}^+(\mathbb{E}^{n+1})$ ; then, if  $\varphi$  is a local parametrization of the set of such matrices, the map  $(y, v) \mapsto (y, \varphi(v)M(y))$  is a local parametrization of  $\mathcal{F}$ .

Let  $Z$  denote the projection  $U \times \mathrm{SO}^+(\mathbb{E}^{n+2}) \rightarrow \mathrm{SO}^+(\mathbb{E}^{n+2}) \subset \mathcal{M}_{n+2}(\mathbb{R})$ . We consider on  $\mathcal{F}$  the following matrix of 1-forms:

$$\Theta = Z^{-1}dZ - \Omega,$$

namely for  $(y, Z) \in \mathcal{F}$  we have

$$\Theta_{(y,Z)} : T_{(y,Z)}\mathcal{F} \rightarrow \mathcal{M}_{n+2}(\mathbb{R}),$$

$$\Theta_{(y,Z)}(u, \zeta) = Z^{-1}\zeta - \Omega_y(u).$$

We claim that, for each  $(y, Z) \in \mathcal{F}$ , the space

$$\mathcal{D}(y, Z) = \ker \Theta_{(y,Z)}$$

has dimension  $n$ . We first notice that the matrix  $\Theta$  belongs to  $\mathfrak{so}(\mathbb{E}^{n+2})$  since  $\Omega$  and  $Z^{-1}dZ$  do. Moreover we have

$$(Z\Theta)_\beta^{n+1} = dZ_\beta^{n+1} - \sum_\gamma Z_\gamma^{n+1}\omega_\beta^\gamma = dT^\beta - \sum_\gamma T^\gamma\omega_\beta^\gamma = 0$$

by lemma 3.5. Thus the values of  $\Theta_{(y,Z)}$  lie in the space

$$\mathcal{H} = \{H \in \mathfrak{so}^+(\mathbb{E}^{n+2}); (ZH)_\beta^{n+1} = 0\},$$

which has dimension  $\frac{n(n+1)}{2}$  (indeed, the map  $F : \mathrm{SO}^+(\mathbb{E}^{n+2}) \rightarrow \mathbb{S}(\mathbb{E}^{n+2})$ ,  $Z \mapsto (Z_\beta^{n+1})_\beta$  is a submersion, and we have  $H \in \mathcal{H}$  if and only if  $ZH \in \ker(dF)_Z$ . Moreover, the space  $T_{(y,Z)}\mathcal{F}$  contains the subspace  $\{(0, ZH); H \in \mathcal{H}\}$ , and the restriction of  $\Theta_{(y,Z)}$  on this subspace is the map  $(0, ZH) \mapsto H$ . Thus  $\Theta_{(y,Z)}$  is onto  $\mathcal{H}$ , and consequently the linear map  $\Theta_{(y,Z)}$  has rank  $\frac{n(n+1)}{2}$ . This finishes proving the claim.

We now prove that the distribution  $\mathcal{D}$  is involutive. Using lemma 3.6 we get

$$\begin{aligned} d\Theta &= -Z^{-1}dZ \wedge Z^{-1}dZ - d\Omega \\ &= -(\Theta + \Omega) \wedge (\Theta + \Omega) - d\Omega \\ &= -\Theta \wedge \Theta - \Theta \wedge \Omega - \Omega \wedge \Theta. \end{aligned}$$

From this formula we deduce that if  $\xi_1, \xi_2 \in \mathcal{D}$ , then  $d\Theta(\xi_1, \xi_2) = 0$ , and so  $\Theta([\xi_1, \xi_2]) = \xi_1 \cdot \Theta(\xi_2) - \xi_2 \cdot \Theta(\xi_1) - d\Theta(\xi_1, \xi_2) = 0$ , i.e.,  $[\xi_1, \xi_2] \in \mathcal{D}$ . Thus the distribution  $\mathcal{D}$  is involutive, and so, by the theorem of Frobenius, it is integrable.

Let  $\mathcal{A}$  be the integral manifold through  $(y_0, A_0)$ . If  $\zeta \in T_{A_0}\mathrm{SO}^+(\mathbb{E}^{n+2})$  is such that  $(0, \zeta) \in T_{(y_0, A_0)}\mathcal{A} = \mathcal{D}(y_0, A_0)$ , then we have  $0 = \Theta_{(y_0, A_0)}(0, \zeta) = A_0^{-1}\zeta$ . This proves that

$$T_{(y_0, A_0)}\mathcal{A} \cap (\{0\} \times T_{A_0}\mathrm{SO}^+(\mathbb{E}^{n+2})) = \{0\}.$$

Thus the manifold  $\mathcal{A}$  is locally the graph of a function  $A : U_1 \rightarrow \mathrm{SO}^+(\mathbb{E}^{n+2})$  where  $U_1$  is a neighbourhood of  $y_0$  in  $U$ . By construction, this map satisfies the properties of proposition 3.7 and is unique.  $\square$

We now prove the theorem.

*Proof of theorem 3.3.* Let  $y_0 \in \mathcal{V}$ ,  $A \in \mathcal{Z}(y_0)$  and  $t_0 \in \mathbb{R}$ . We consider on  $\mathcal{V}$  a local orthonormal frame  $(e_1, \dots, e_n)$  in the neighbourhood of  $y_0$  and we keep the same notations. Then by proposition 3.7 there exists a unique map  $A : U_1 \rightarrow \text{SO}^+(\mathbb{E}^{n+2})$  such that

$$\begin{aligned} A^{-1}dA &= \Omega, \\ \forall y \in U_1, \quad A(y) &\in \mathcal{Z}(y), \\ A(y_0) &= A_0, \end{aligned}$$

where  $U_1$  is a neighbourhood of  $y_0$ , which we can assume simply connected.

We set  $f^0 = A_0^0$ ,  $f^i = A_0^i$  and we call  $f^{n+1}$  the unique function on  $U_1$  such that  $df^{n+1} = \eta$  and  $f^{n+1}(y_0) = t_0$  (this function exists since  $U_1$  is simply connected and  $d\eta = 0$ ). Thus we defined a map  $f : U_1 \rightarrow \mathbb{E}^{n+2}$ . Since  $A_0^{n+1} = T^0 = 0$  and  $A \in \text{SO}^+(\mathbb{E}^{n+2})$ , in the case of  $\mathbb{S}^n \times \mathbb{R}$  we have  $(f^0)^2 + \sum_i (f^i)^2 = \sum_\alpha (A_0^\alpha)^2 = 1$ , and in the case of  $\mathbb{H}^n \times \mathbb{R}$  we have  $-(f^0)^2 + \sum_i (f^i)^2 = -(A_0^0)^2 + \sum_i (A_0^i)^2 + (A_0^{n+1})^2 = -1$  and  $f^0 = A_0^0 > 0$ . Thus in both cases we have  $(f^0, \dots, f^n) \in \mathbb{M}^n$ , i.e., the values of  $f$  lie in  $\mathbb{M}^n \times \mathbb{R}$ .

Since  $dA = A\Omega$ , we have, for  $\alpha < n+1$ ,

$$\begin{aligned} df^\alpha(e_k) &= \sum_j A_j^\alpha \omega_0^j(e_k) + A_{n+1}^\alpha \omega_0^{n+1}(e_k) \\ &= \sum_j A_j^\alpha (\delta_j^k - T^j T^k) - A_{n+1}^\alpha T^{n+1} T^k \\ &= A_k^\alpha - T^k \sum_\beta A_\beta^\alpha A_\beta^{n+1} \\ &= A_k^\alpha, \end{aligned}$$

and

$$df^{n+1}(e_k) = \eta(e_k) = T^k = A_k^{n+1}.$$

This means that  $df(e_k)$  is given by the column  $k$  of the matrix  $A$ .

Since  $A$  is an invertible matrix,  $df$  has rank  $n$ , and so  $f$  is an immersion. And since  $A \in \text{SO}^+(\mathbb{E}^{n+2})$ , we have  $\langle df(e_p), df(e_q) \rangle = \delta_p^q$ , and so  $f$  is an isometry.

The columns of  $A(y)$  form a direct orthonormal frame of  $\mathbb{E}^{n+2}$ . Columns 1 to  $n$  form a direct orthonormal frame of  $T_{f(y)}f(\mathcal{V})$  and column 0 is the projection of  $f(y)$  on  $\mathbb{M}^n \times \{0\}$ , i.e., the unit normal  $\bar{N}(f(y))$  to  $\mathbb{M}^n \times \mathbb{R}$  at the point  $f(y)$ . Thus column  $(n+1)$  is the unit normal  $N(f(y))$  to  $f(\mathcal{V})$  in  $\mathbb{M}^n \times \mathbb{R}$  at the point  $f(y)$ .

We set  $X_j = df(e_j)$ . Then we have

$$\begin{aligned} \langle dX_j(X_k), N \rangle &= \sum_\alpha dA_j^\alpha(e_k) A_{n+1}^\alpha = \sum_\alpha \sum_\gamma A_\gamma^\alpha A_{n+1}^\alpha \omega_j^\gamma(e_k) \\ &= \omega_j^{n+1}(e_k) = \langle Se_k, e_j \rangle. \end{aligned}$$

This means that the shape operator of  $f(\mathcal{V})$  in  $\mathbb{M}^n \times \mathbb{R}$  is  $df \circ S \circ df^{-1}$ .

Finally, the coefficients of the vertical vector  $\frac{\partial}{\partial t} = E_{n+1}$  in the orthonormal frame  $(\bar{N}, X_1, \dots, X_n, N)$  are given by the last line of  $A$ . Since  $A(y) \in \mathcal{Z}(y)$  for all  $y \in U_2$  we get

$$\frac{\partial}{\partial t} = \sum_j T^j X_j + T^{n+1} N = df(T) + \nu N.$$

We now prove that the local immersion is unique up to a global isometry of  $\mathbb{M}^n \times \mathbb{R}$ . Let  $\tilde{f} : U_3 \rightarrow \mathbb{M}^n \times \mathbb{R}$  be another immersion satisfying the conclusion

of the theorem, where  $U_3$  is a simply connected neighbourhood of  $y_0$  included in  $U_1$ , let  $(\tilde{X}_\beta)$  be the associated frame (i.e.,  $\tilde{X}_j = d\tilde{f}(e_j)$ ,  $\tilde{X}_{n+1}$  is the normal of  $\tilde{f}(\mathcal{V})$  in  $\mathbb{M}^n \times \mathbb{R}$  and  $\tilde{X}_0$  is the normal to  $\mathbb{M}^n \times \mathbb{R}$  in  $\mathbb{E}^{n+2}$ ) and let  $\tilde{A}$  the matrix of the coordinates of the frame  $(\tilde{X}_\beta)$  in the frame  $(E_\alpha)$ . Up to a direct isometry of  $\mathbb{M}^n \times \mathbb{R}$ , we can assume that  $f(y_0) = \tilde{f}(y_0)$  and that the frames  $(X_\beta(y_0))$  and  $(\tilde{X}_\beta(y_0))$  coincide, i.e.,  $A(y_0) = \tilde{A}(y_0)$ . We notice that this isometry necessarily fixes  $\frac{\partial}{\partial t}$  since the  $T^\alpha$  are the same for  $x$  and  $\tilde{x}$ . The matrices  $A$  and  $\tilde{A}$  satisfy  $A^{-1}dA = \Omega$  and  $\tilde{A}^{-1}d\tilde{A} = \Omega$  (see section 2.3),  $A(y), \tilde{A}(y) \in \mathcal{Z}(y)$  and  $A(y_0) = \tilde{A}(y_0)$ , thus by the uniqueness of the solution of the equation in proposition 3.7 we get  $A(y) = \tilde{A}(y)$ . Considering the columns 0 of these matrices, we get  $f^i = \tilde{f}^i$  and  $f^0 = \tilde{f}^0$ . Finally we have  $df^{n+1} = \eta = d\tilde{f}^{n+1}$  and  $f^{n+1}(y_0) = \tilde{f}^{n+1}(y_0)$ , thus we have  $f^{n+1} = \tilde{f}^{n+1}$ . This finishes proving that  $f = \tilde{f}$  on  $U_3$ .

Finally we prove that this local immersion  $f$  can be extended to  $\mathcal{V}$  in a unique way. Let  $y_1 \in \mathcal{V}$ . Then there exists a curve  $\Gamma : [0, 1] \rightarrow \mathcal{V}$  such that  $\Gamma(0) = y_0$  and  $\Gamma(1) = y_1$ . Each point of  $\Gamma$  has a neighbourhood such that there exists an isometric immersion (unique up to an isometry of  $\mathbb{M}^n \times \mathbb{R}$  preserving the orientations of  $\mathbb{M}^n$  and  $\mathbb{R}$ ) of this neighbourhood satisfying the properties of the theorem. From this family of neighbourhoods we can extract a finite family  $(W_1, \dots, W_p)$  covering  $\Gamma$  with  $W_1 = U_1$ . Then the above uniqueness argument shows that we can extend successively the immersion  $f$  to the  $W_k$  in a unique way. In particular  $f(y_1)$  is defined. Moreover, this value  $f(y_1)$  does not depend on the choice of the curve  $\Gamma$  joining  $y_0$  to  $y_1$  because  $\mathcal{V}$  is simply connected.  $\square$

**Proposition 3.8.** *If  $(ds^2, S, T, \nu)$  satisfies the compatibility equations and correspond to an immersion  $f : \Sigma \rightarrow \mathbb{M}^n \times \mathbb{R}$ , then  $(ds^2, -S, T, -\nu)$ ,  $(ds^2, -S, -T, \nu)$  and  $(ds^2, S, -T, -\nu)$  also satisfy the compatibility equations and they correspond to the immersion  $\sigma \circ f$  where  $\sigma$  is an isometry of  $\mathbb{M}^n \times \mathbb{R}$*

- (1) *reversing the orientation of  $\mathbb{M}^n$  and preserving the orientation of  $\mathbb{R}$  in the case of  $(ds^2, -S, T, -\nu)$ ,*
- (2) *preserving the orientation of  $\mathbb{M}^n$  and reversing the orientation of  $\mathbb{R}$  in the case of  $(ds^2, -S, -T, \nu)$ ,*
- (3) *reversing the orientations of both  $\mathbb{M}^n$  and  $\mathbb{R}$  in the case of  $(ds^2, S, -T, -\nu)$ .*

*Proof.* We deal with the first case (the two others are similar). Let  $\hat{f} = \sigma \circ f$ . Then the normal to  $\mathbb{M}^n \times \mathbb{R}$  is  $\sigma \circ \bar{N}$ , and since  $\sigma$  reverses the orientation of  $\mathbb{M}^n \times \mathbb{R}$  the normal to  $\hat{f}(\mathcal{V})$  in  $\mathbb{M}^n \times \mathbb{R}$  is  $\hat{N} = -\sigma \circ N$ . From this we deduce that  $\hat{S} = -S$ . Moreover we have  $\frac{\partial}{\partial t} = df(T) + \nu N$ , and so, since  $\sigma$  preserves the orientation of  $\mathbb{R}$  we have

$$\frac{\partial}{\partial t} = \sigma \circ df(T) + \nu \sigma \circ N = d\hat{f}(T) - \nu \hat{N}.$$

We conclude that  $\hat{T} = T$  and  $\hat{\nu} = -\nu$ .  $\square$

**3.3. Remark: another proof in the case of  $\mathbb{H}^n \times \mathbb{R}$ .** In this section we outline another proof of theorem 3.3 in the case of  $\mathbb{H}^n \times \mathbb{R}$  that does not involve the Lorentz space. Greek letters will denote indices between 1 and  $n+1$ .

We first consider an orientable hypersurface  $\mathcal{V}$  of an  $(n+1)$ -dimensional Riemannian manifold  $\tilde{\mathcal{V}}$ . Let  $(e_1, \dots, e_n)$  be a local orthonormal frame on  $\mathcal{V}$ ,  $e_{n+1}$  the normal to  $\mathcal{V}$ , and  $(E_1, \dots, E_{n+1})$  a local orthonormal frame on  $\tilde{\mathcal{V}}$ . We denote by  $\nabla$  and  $\tilde{\nabla}$  the Riemannian connections on  $\mathcal{V}$  and  $\tilde{\mathcal{V}}$  respectively, and by  $S$  the shape

operator of  $\mathcal{V}$  (with respect to the normal  $e_{n+1}$ ). We define the forms  $\omega^\alpha, \omega_\beta^\alpha$  on  $\mathcal{V}$  as in section 2.2. Then we have

$$\bar{\nabla}_{e_k} e_\beta = \sum_{\gamma} \omega_\beta^\gamma(e_k) e_\gamma.$$

Let  $A \in \text{SO}_{n+1}(\mathbb{R})$  be the matrix whose columns are the coordinates of the  $e_\beta$  in the frame  $(E_\alpha)$ , namely  $A_\beta^\alpha = \langle e_\beta, E_\alpha \rangle$ . Let  $\Omega = (\omega_\beta^\alpha) \in \mathcal{M}_{n+1}(\mathbb{R})$ . The matrix  $A$  satisfies the following equation:

$$A^{-1}dA = \Omega + L(A)$$

with

$$L(A)_\beta^\alpha = \sum_k \left( \sum_{\gamma, \delta, \varepsilon} A_\alpha^\varepsilon A_k^\gamma A_\beta^\delta \bar{\Gamma}_{\gamma\alpha}^\delta \right) \omega^k,$$

where the  $\bar{\Gamma}_{\gamma\alpha}^\delta$  are the Christoffel symbols of the frame  $(E_\alpha)$ . Notice that these matrices have size  $n+1$ , whereas those of section 2.3 have size  $n+2$ .

We now assume that  $\bar{\mathcal{V}} = \mathbb{H}^n \times \mathbb{R}$  and that  $\mathcal{V}$  is a Riemannian manifold of dimension  $n$  endowed with  $S, T, \nu$  satisfying the compatibility equations for  $\mathbb{H}^n \times \mathbb{R}$ . We consider a local orthonormal frame  $(e_1, \dots, e_n)$  on  $U \subset \mathcal{V}$ , the associated one-forms  $\omega^\alpha, \omega_\beta^\alpha$  and the matrix of one-forms  $\Omega \in \mathcal{M}_{n+1}(\mathbb{R})$ .

We use the fact that there exists an orthonormal frame on  $\mathbb{H}^n$  whose Christoffel symbols are constant; more precisely, we can choose the frame  $(E_\alpha)$  on  $\mathbb{H}^n \times \mathbb{R}$  such that  $\bar{\Gamma}_{ij}^i = -\bar{\Gamma}_{ii}^j = \frac{1}{\sqrt{n}}$  for  $i \neq j, i, j \leq n$  and all the other Christoffel symbols vanish.

The first step is to prove the following proposition, which is analogous to proposition 3.7.

**Proposition 3.9.** *Let  $y_0 \in \mathcal{V}$  and  $A_0 \in \mathcal{Z}(y_0)$ . Then there exist a neighbourhood  $U_1$  of  $y_0$  in  $\mathcal{V}$  and a unique map  $A : U_1 \rightarrow \text{SO}_{n+1}(\mathbb{R})$  such that*

$$A^{-1}dA = \Omega + L(A),$$

$$\forall y \in U_1, \quad A(y) \in \mathcal{Z}(y),$$

$$A(y_0) = A_0,$$

where  $\mathcal{Z}(y)$  is defined in a way analogous to that of section 3.2.

To prove this proposition, we introduce the form  $\Theta = Z^{-1}dZ - \Omega - L(Z)$  on  $\mathcal{F} = \{(y, Z) \in U \times \text{SO}_{n+1}(\mathbb{R}); Z \in \mathcal{Z}(y)\}$ ; this is well defined since the Christoffel symbols are constant. A long calculation shows that the distribution  $\mathcal{D}(y, Z) = \ker \Theta_{(y, Z)}$  is involutive. We conclude as in the proof of proposition 3.7.

The second step is to prove the following proposition.

**Proposition 3.10.** *Let  $x_0 \in \mathbb{H}^n \times \mathbb{R}$ . There exist a neighbourhood  $U_2$  of  $y_0$  contained in  $U_1$  and a function  $f : U_2 \rightarrow \mathbb{H}^n \times \mathbb{R}$  such that*

$$df = (B \circ f)A\omega,$$

$$f(y_0) = x_0,$$

where  $\omega$  is the column  $(\omega^1, \dots, \omega^n, 0)$  and, for  $x \in \mathbb{H}^n \times \mathbb{R}$ ,  $B(x) \in \mathcal{M}_{n+1}(\mathbb{R})$  is the matrix of the coordinates of the frame  $(E_\alpha(x))$  in the frame  $(\frac{\partial}{\partial x^\alpha})$  (we choose the upper half-space model for  $\mathbb{H}^n$ ).

To prove it, we consider the form  $B^{-1}dx - A\omega$  on  $U_1 \times \bar{\mathcal{V}}$ , and we show that its kernel again defines an involutive distribution.

The last step is to check that this map  $f$  satisfies the conclusions of theorem 3.3.

#### 4. APPLICATIONS TO MINIMAL SURFACES IN $\mathbb{M}^2 \times \mathbb{R}$

**4.1. The associate family.** Let  $\mathbb{M}^2 = \mathbb{S}^2$  or  $\mathbb{M}^2 = \mathbb{H}^2$ . Let  $\Sigma$  be a Riemann surface with a metric  $ds^2$  (which we also denote by  $\langle \cdot, \cdot \rangle$ ),  $\nabla$  its Riemannian connection, and  $J$  the rotation of angle  $\frac{\pi}{2}$  on  $T\Sigma$ . Let  $S$  be a field of symmetric operators  $S_y : T_y\Sigma \rightarrow T_y\Sigma$ . Let  $T$  be a vector field on  $\Sigma$  and  $\nu$  a smooth function on  $\Sigma$  such that  $\|T\|^2 + \nu^2 = 1$ .

**Proposition 4.1.** *Assume that  $S$  is trace-free and that  $(ds^2, S, T, \nu)$  satisfies the compatibility equations for  $\mathbb{M}^2 \times \mathbb{R}$ . For  $\theta \in \mathbb{R}$  we set*

$$S_\theta = e^{\theta J} S = (\cos \theta)S + (\sin \theta)JS,$$

$$T_\theta = e^{\theta J} T = (\cos \theta)T + (\sin \theta)JT,$$

*i.e.,  $S_\theta$  and  $T_\theta$  are obtained by rotating  $S$  and  $T$  by the angle  $\theta$ .*

*Then  $S_\theta$  is symmetric and trace-free,  $\|T_\theta\|^2 + \nu^2 = 1$  and  $(ds^2, S_\theta, T_\theta, \nu)$  satisfies the compatibility equations for  $\mathbb{M}^2 \times \mathbb{R}$ .*

*Proof.* The fact that  $S_\theta$  is symmetric and trace-free comes from an elementary computation. Moreover we have  $\|T_\theta\| = \|T\|$ . We notice that, since  $\dim \Sigma = 2$ , the Gauss equation (7) is equivalent to

$$K = \det S + \kappa(1 - \|T\|^2)$$

where  $K$  is the Gauss curvature of  $ds^2$ . Since  $\det(e^{\theta J}) = 1$ , we have  $\det S_\theta = \det S$ , and so the Gauss equation is satisfied for  $(ds^2, S_\theta, T_\theta, \nu)$ .

Since  $e^{\theta J}$  commutes with  $\nabla_X$  (see [AR03], section 3.2) and preserves the metric, equations (9) and (10) are also satisfied for  $(ds^2, S_\theta, T_\theta, \nu)$ .

To prove that the Codazzi equation (8) is satisfied by  $(ds^2, S_\theta, T_\theta, \nu)$ , we first notice that, since  $\nabla_X e^{\theta J} SY - \nabla_Y e^{\theta J} SX - e^{\theta J} S[X, Y] = e^{\theta J} (\nabla_X SY - \nabla_Y SX - S[X, Y])$ , it suffices to prove that

$$\langle e^{\theta J} T, Y \rangle X - \langle e^{\theta J} T, X \rangle Y = e^{\theta J} (\langle T, Y \rangle X - \langle T, X \rangle Y).$$

This is obvious at a point where  $X = 0$ . At a point where  $X \neq 0$ , we can write  $Y = \lambda X + \mu JX$ , and a computation shows that both expressions are equal to  $\mu \cos \theta \langle T, JX \rangle X + \mu \sin \theta \langle T, X \rangle X - \mu \cos \theta \langle T, X \rangle JX + \mu \sin \theta \langle T, JX \rangle JX$ .  $\square$

**Theorem 4.2.** *Let  $\Sigma$  be a simply connected Riemann surface and  $x : \Sigma \rightarrow \mathbb{M}^2 \times \mathbb{R}$  a conformal minimal immersion. Let  $N$  be the induced normal. Let  $S$  be the symmetric operator on  $\Sigma$  induced by the shape operator of  $x(\Sigma)$ . Let  $T$  be the vector field on  $\Sigma$  such that  $dx(T)$  is the projection of  $\frac{\partial}{\partial t}$  onto  $T(x(\Sigma))$ . Let  $\nu = \langle N, \frac{\partial}{\partial t} \rangle$ .*

*Let  $z_0 \in \Sigma$ . Then there exists a unique family  $(x_\theta)_{\theta \in \mathbb{R}}$  of conformal minimal immersions  $x_\theta : \Sigma \rightarrow \mathbb{M}^2 \times \mathbb{R}$  such that:*

- (1)  $x_\theta(z_0) = x(z_0)$  and  $(dx_\theta)_{z_0} = (dx)_{z_0}$ ,
- (2) the metrics induced on  $\Sigma$  by  $x$  and  $x_\theta$  are the same,
- (3) the symmetric operator on  $\Sigma$  induced by the shape operator of  $x_\theta(\Sigma)$  is  $e^{\theta J} S$ ,
- (4)  $\frac{\partial}{\partial t} = dx_\theta(e^{\theta J} T) + \nu N_\theta$  where  $N_\theta$  is the unit normal to  $x_\theta$ .



Moreover we have  $x_0 = x$  and the family  $(x_\theta)$  is continuous with respect to  $\theta$ .

The family of immersions  $(x_\theta)_{\theta \in \mathbb{R}}$  is called the *associate family of the immersion*  $x$ . The immersion  $x_{\frac{\pi}{2}}$  is called the *conjugate immersion of the immersion*  $x$ . The immersion  $x_\pi$  is called the *opposite immersion of the immersion*  $x$ .

*Proof.* Let  $ds^2$  be the metric on  $\Sigma$  induced by  $x$ . Then  $(ds^2, S, T, \nu)$  satisfies the compatibility equations for  $\mathbb{M}^2 \times \mathbb{R}$ . Thus, by proposition 4.1,  $(ds^2, e^{\theta J}S, e^{\theta J}T, \nu)$  also does. Thus by theorem 3.3 there exists a unique immersion  $x_\theta$  satisfying the properties of the theorem. The fact that  $x_0 = x$  is clear.

Finally,  $(ds^2, e^{\theta J}S, e^{\theta J}T, \nu)$  defines a matrix of one-forms  $\Omega_\theta$  and a matrix of functions  $A_\theta$  satisfying  $A_\theta^{-1}dA_\theta = \Omega_\theta$  (by proposition 3.7). By continuity of  $\Omega_\theta$  with respect to  $\theta$  we obtain the continuity of  $A_\theta$  with respect to  $\theta$ , and then the continuity of  $x_\theta$  with respect to  $\theta$ .  $\square$

**Remark 4.3.** Let  $\tau : \Sigma' \rightarrow \Sigma$  be a conformal diffeomorphism. If  $\tau$  preserves the orientation, then  $(x \circ \tau)_\theta = x_\theta \circ \tau$ ; if  $\tau$  reverses the orientation, then  $(x \circ \tau)_\theta = x_{-\theta} \circ \tau$ .

In the sequel, we will speak of associate and conjugate immersions even if condition 1 is not satisfied, i.e., we will consider these notions up to isometries of  $\mathbb{M}^2 \times \mathbb{R}$  preserving the orientations of both  $\mathbb{M}^2$  and  $\mathbb{R}$ .

**Remark 4.4.** The opposite immersion is  $x_\pi = \sigma \circ x$  where  $\sigma$  is an isometry of  $\mathbb{M}^2 \times \mathbb{R}$  preserving the orientation of  $\mathbb{M}^2$  and reversing the orientation of  $\mathbb{R}$  (see proposition 3.8, case 2).

**Remark 4.5.** This associate family for minimal immersions in  $\mathbb{M}^2 \times \mathbb{R}$  is analogous to the associate family for minimal immersions in  $\mathbb{R}^3$ . Conformal minimal immersions in  $\mathbb{R}^3$  are given by the Weierstrass representation:

$$x(z) = x(z_0) + \operatorname{Re} \int_{z_0}^z (1 - g^2, i(1 + g^2), 2g)\omega$$

where  $g$  is a meromorphic function on  $\Sigma$  (the Gauss map) and  $\omega$  a holomorphic one-form. Then the associate immersions are

$$x_\theta(z) = x(z_0) + \operatorname{Re} \int_{z_0}^z (1 - g^2, i(1 + g^2), 2g)e^{-i\theta}\omega.$$

Let  $x = (\varphi, h) : \Sigma \rightarrow \mathbb{M}^2 \times \mathbb{R}$  be a conformal minimal immersion. Then  $h$  is a real harmonic function and  $\varphi$  is a harmonic map to  $\mathbb{M}^2$ . We set

$$\frac{\partial}{\partial z} = \frac{1}{2} \left( \frac{\partial}{\partial u} - i \frac{\partial}{\partial v} \right), \quad \frac{\partial}{\partial \bar{z}} = \frac{1}{2} \left( \frac{\partial}{\partial u} + i \frac{\partial}{\partial v} \right).$$

The Hopf differential of  $\varphi$  is the following 2-form (see [Ros02b]):

$$Q\varphi = 4 \left\langle \frac{\partial \varphi}{\partial z}, \frac{\partial \varphi}{\partial z} \right\rangle dz^2 = \left( \left\| \frac{\partial \varphi}{\partial u} \right\|^2 - \left\| \frac{\partial \varphi}{\partial v} \right\|^2 - 2i \left\langle \frac{\partial \varphi}{\partial u}, \frac{\partial \varphi}{\partial v} \right\rangle \right) dz^2.$$

It is a holomorphic 2-form on  $\Sigma$ , and since  $x$  is conformal we have

$$Q\varphi = -4 \left( \frac{\partial h}{\partial z} \right)^2 dz^2 = -(d(h + ih^*))^2 = -4 \left\langle T, \frac{\partial x}{\partial z} \right\rangle dz^2$$

where  $h^*$  is the harmonic conjugate function of  $h$  (i.e.,  $\frac{\partial h^*}{\partial u} = -\frac{\partial h}{\partial v}$  and  $\frac{\partial h^*}{\partial v} = \frac{\partial h}{\partial u}$ ). The reader can refer to [SY97] for harmonic maps.

**Proposition 4.6.** *Let  $x = (\varphi, h) : \Sigma \rightarrow \mathbb{M}^2 \times \mathbb{R}$  be a conformal minimal immersion, and  $(x_\theta) = ((\varphi_\theta, h_\theta))$  its associate family of conformal minimal immersions. Let  $h^*$  be the harmonic conjugate of  $h$ . Then we have*

$$h_\theta = (\cos \theta)h + (\sin \theta)h^*, \quad Q\varphi_\theta = e^{-2i\theta}Q\varphi.$$

*Proof.* We have

$$\begin{aligned} \frac{\partial h_\theta}{\partial u} &= \left\langle \frac{\partial x_\theta}{\partial u}, \frac{\partial}{\partial t} \right\rangle = \left\langle \frac{\partial}{\partial u}, T_\theta \right\rangle = \cos \theta \left\langle \frac{\partial}{\partial u}, T \right\rangle + \sin \theta \left\langle \frac{\partial}{\partial u}, JT \right\rangle \\ &= \cos \theta \left\langle \frac{\partial}{\partial u}, T \right\rangle - \sin \theta \left\langle \frac{\partial}{\partial v}, T \right\rangle \\ &= \cos \theta \frac{\partial h}{\partial u} - \sin \theta \frac{\partial h}{\partial v}. \end{aligned}$$

In the same way we have  $\frac{\partial h_\theta}{\partial v} = \cos \theta \left\langle \frac{\partial}{\partial v}, T \right\rangle + \sin \theta \left\langle \frac{\partial}{\partial v}, JT \right\rangle = \cos \theta \frac{\partial h}{\partial v} + \sin \theta \frac{\partial h}{\partial u}$ . This proves that  $h_\theta = (\cos \theta)h + (\sin \theta)h^*$ . The expression of  $Q\varphi_\theta$  follows immediately.  $\square$

**Remark 4.7.** Recently, Hauswirth, Sá Earp and Toubiana ([HSET04]) defined the following notion of associated immersions in  $\mathbb{H}^2 \times \mathbb{R}$ : two isometric conformal minimal immersions in  $\mathbb{H}^2 \times \mathbb{R}$  are said to be associated if their Hopf differential differ by the multiplication by some constant  $e^{i\theta}$ . Moreover, they proved that two isometric conformal minimal immersions in  $\mathbb{H}^2 \times \mathbb{R}$  having the same Hopf differential are equal up to an isometry of  $\mathbb{H}^2 \times \mathbb{R}$ . Thus the notions of associated immersions in the sense of this paper and in the sense of [HSET04] are equivalent.

In [SET04], Sá Earp and Toubiana ask the following question: if two conformal minimal immersions  $x, \tilde{x} : \Sigma \rightarrow \mathbb{M}^2 \times \mathbb{R}$  are isometric, then are they associated? (This result holds for  $\mathbb{R}^3$ .)

**Remark 4.8.** Abresch and Rosenberg ([AR03]) defined a holomorphic Hopf differential for constant mean curvature surfaces in  $\mathbb{M}^2 \times \mathbb{R}$ . For minimal surfaces in  $\mathbb{M}^2 \times \mathbb{R}$ , this Hopf differential is

$$\begin{aligned} Q(X, Y) &= -\frac{\kappa}{2}(\langle T, X \rangle \langle T, Y \rangle - \langle T, JX \rangle \langle T, JY \rangle) \\ &\quad + i\frac{\kappa}{2}(\langle T, JX \rangle \langle T, Y \rangle + \langle T, X \rangle \langle T, JY \rangle). \end{aligned}$$

A computation shows that

$$Q = \frac{\kappa}{2}Q\varphi.$$

**Proposition 4.9.** *Let  $x : \Sigma \rightarrow \mathbb{M}^2 \times \mathbb{R}$  be a conformal minimal immersion. If  $x$  does not define a horizontal  $\mathbb{M}^2 \times \{t\}$ , then the zeros of  $T$  are isolated.*

*Proof.* The height function  $h = \langle x, \frac{\partial}{\partial t} \rangle$  satisfies  $dh(X) = \langle T, X \rangle$ ; thus the zeroes of  $T$  are the zeroes of  $dh$ . Since  $h$  is harmonic, either the zeroes of  $dh$  are isolated or  $h$  is constant. The latter case is excluded by hypothesis.  $\square$

**Remark 4.10.** Umbilic points (i.e., zeroes of the shape operator) may be non-isolated: for example helicoids and unduloids in  $\mathbb{S}^2 \times \mathbb{R}$  have curves of umbilic points (see section 4.2).

We now give some geometric properties of conjugate surfaces.

The transformation  $S \mapsto JS$  implies that curvature lines and asymptotic lines are exchanged by conjugation (as in  $\mathbb{R}^3$ ). (More generally the normal curvature and the normal torsion of a curve are swapped up to a sign.) The reader can refer to [Kar01] for geometric properties of conjugate surfaces in  $\mathbb{R}^3$ .

Moreover, the transformation  $T \mapsto JT$  implies the following transformation: a horizontal curve  $\gamma$  along which the surface is vertical (i.e.,  $\nu = 0$  along  $\gamma$  and  $\gamma'$  is orthogonal to  $T$ ) is mapped to vertical curve (i.e.,  $\nu = 0$  along  $\gamma$  and  $\gamma'$  is proportional to  $T$ ), and vice versa. We also notice that a minimal surface cannot be horizontal along a horizontal curve unless the minimal surface is a horizontal  $\mathbb{M}^2 \times \{t\}$  (indeed, this would imply that  $T = 0$  along this curve).

Hence conjugation swaps two pairs of Schwarz reflections:

- (1) the symmetry with respect to a vertical plane containing a curvature line becomes the rotation with respect to a horizontal geodesic of  $\mathbb{M}^2$ , and vice versa,
- (2) the symmetry with respect to a horizontal plane containing a curvature line becomes the rotation with respect to a vertical straight line, and vice versa.

The first case is illustrated by a generatrix curve of an unduloid or a catenoid and a horizontal line of a helicoid; the second case is illustrated by the waist circle of an unduloid or a catenoid and the axis of a helicoid. These examples are detailed in sections 4.2 and 4.3.

**4.2. Helicoids and unduloids in  $\mathbb{S}^2 \times \mathbb{R}$ .** Apart from the horizontal spheres  $\mathbb{S}^2 \times \{t\}$  and the vertical cylinders  $\mathbb{S}^1 \times \mathbb{R}$  ( $\mathbb{S}^1$  being a great circle in  $\mathbb{S}^2$ ), the most simple examples of minimal surfaces in  $\mathbb{S}^2 \times \mathbb{R}$  are helicoids and unduloids. These surfaces are described in [PR99] and [Ros02b]. They are properly embedded and foliated by circles. Unduloids are rotational and vertically periodic; helicoids are invariant by a screw motion.

*Helicoids.* For  $\beta \neq 0$ , the helicoid  $\mathcal{H}_\beta$  is given by the following conformal immersion:

$$x(u, v) = \begin{pmatrix} \sin \varphi(u) \cos \beta v \\ \sin \varphi(u) \sin \beta v \\ \cos \varphi(u) \\ v \end{pmatrix},$$

where the function  $\varphi$  satisfies

$$(11) \quad \varphi'(u)^2 = 1 + \beta^2 \sin^2 \varphi(u), \quad \varphi''(u) = \beta^2 \sin \varphi(u) \cos \varphi(u).$$

We can assume that  $\varphi(0) = 0$  and  $\varphi'(u) > 0$ . When  $\beta > 0$  we say that  $\mathcal{H}_\beta$  is a right helicoid; when  $\beta < 0$  we say that  $\mathcal{H}_\beta$  is a left helicoid.

The normal to  $\mathbb{S}^2 \times \mathbb{R}$  in  $\mathbb{R}^4$  is

$$\bar{N}(u, v) = \begin{pmatrix} \sin \varphi(u) \cos \beta v \\ \sin \varphi(u) \sin \beta v \\ \cos \varphi(u) \\ 0 \end{pmatrix}.$$

The normal to  $\mathcal{H}_\beta$  in  $\mathbb{S}^2 \times \mathbb{R}$  is

$$N(u, v) = \frac{1}{\varphi'(u)} \begin{pmatrix} \sin \beta v \\ -\cos \beta v \\ 0 \\ \beta \sin \varphi(u) \end{pmatrix}.$$

We compute:

$$\left\langle \frac{\partial^2 x}{\partial u^2}, N \right\rangle = \left\langle \frac{\partial^2 x}{\partial v^2}, N \right\rangle = 0, \quad \left\langle \frac{\partial^2 x}{\partial u \partial v}, N \right\rangle = -\beta \cos \varphi(u).$$

Using the fact that  $\langle SX, Y \rangle = \langle dY(X), N \rangle$ , we compute that the matrix of S in the frame  $(\frac{\partial}{\partial u}, \frac{\partial}{\partial v})$  is the following:

$$-\frac{\beta \cos \varphi(u)}{\varphi'(u)^2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

In particular the points where  $\cos \varphi(u) = 0$  are umbilic points. We also have

$$T = \frac{1}{\varphi'(u)^2} \frac{\partial}{\partial v}, \quad \nu = \frac{\beta \sin \varphi(u)}{\varphi'(u)}.$$

**Remark 4.11.** When  $\beta = 0$ , the formula defines a vertical cylinder  $\mathbb{S}^1 \times \mathbb{R}$ . When  $\beta \rightarrow \infty$ , the surface converges to the foliation by horizontal spheres  $\mathbb{S}^2 \times \{t\}$ .

*Unduloids.* For  $\alpha > 1$  or  $\alpha < -1$ , the unduloid  $\mathcal{U}_\alpha$  is given by the following conformal immersion:

$$x(u, v) = \begin{pmatrix} \sin \psi(u) \cos \alpha v \\ \sin \psi(u) \sin \alpha v \\ \cos \psi(u) \\ u \end{pmatrix},$$

where the function  $\psi$  satisfies

$$(12) \quad 1 + \psi'(u)^2 = \alpha^2 \sin^2 \psi(u), \quad \psi''(u) = \alpha^2 \sin \psi(u) \cos \psi(u).$$

We can assume that  $\psi'(0) = 0$ ,  $\psi(u) \in (0, \pi)$  and  $\cos \psi(0) > 0$ .

The normal to  $\mathcal{U}_\alpha$  in  $\mathbb{S}^2 \times \mathbb{R}$  is

$$N(u, v) = \frac{1}{\alpha \sin \psi(u)} \begin{pmatrix} -\cos \psi(u) \cos \alpha v \\ -\cos \psi(u) \sin \alpha v \\ \sin \psi(u) \\ \psi'(u) \end{pmatrix}.$$

We compute that the matrix of S in the frame  $(\frac{\partial}{\partial u}, \frac{\partial}{\partial v})$  is the following:

$$-\frac{\alpha \cos \psi(u)}{1 + \psi'(u)^2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

In particular the points where  $\cos \psi(u) = 0$  are umbilic points. We also have

$$T = \frac{1}{1 + \psi'(u)^2} \frac{\partial}{\partial u}, \quad \nu = \frac{\psi'(u)}{\alpha \sin \psi(u)}.$$

**Remark 4.12.** When  $\alpha = \pm 1$ , the formula defines a vertical cylinder  $\mathbb{S}^1 \times \mathbb{R}$ . When  $\alpha \rightarrow \infty$ , the surface converges to the foliation by horizontal spheres  $\mathbb{S}^2 \times \{t\}$ .

**Proposition 4.13.** *The conjugate surface of the unduloid  $\mathcal{U}_\alpha$  is the helicoid  $\mathcal{H}_\beta$  with  $\alpha^2 = 1 + \beta^2$  and  $\alpha, \beta$  having the same sign.*

*Proof.* We set  $y_1(u) = \alpha \cos \psi(u)$  and  $y_2(u) = \beta \cos \varphi(u)$ . A computation shows that both  $y_1$  and  $y_2$  are solutions of the following equation:

$$(y')^2 = (y^2 - \alpha^2)(y^2 - \beta^2),$$

and hence of the following equation:

$$y'' = y(2y^2 - \alpha^2 - \beta^2).$$

We have  $\psi'(0) = 0$  and so by (12) we have  $y_1(0)^2 = \beta^2$  and thus  $y_1'(0) = 0$ , and  $\varphi(0) = 0$  so  $y_2(0) = \beta$  and thus  $y_2'(0) = 0$ . Moreover,  $\cos \psi(0) > 0$ , so  $y_1(0)$  has the sign of  $\alpha$ ; since  $\alpha$  and  $\beta$  have the same sign, we have  $y_1(0) = \beta$ . By the Cauchy-Lipschitz theorem we conclude that  $y_1 = y_2$ . From this we deduce using (12) and (11) that  $\varphi'(u)^2 = 1 + \psi'(u)^2$ , and thus  $\mathcal{U}_\alpha$  and  $\mathcal{H}_\beta$  are locally isometric, and  $S_{\mathcal{H}_\beta} = JS_{\mathcal{U}_\alpha}$  and  $T_{\mathcal{H}_\beta} = JT_{\mathcal{U}_\alpha}$ . Finally we have  $\nu_{\mathcal{U}_\alpha} = -\frac{y_1'}{\alpha^2 - y_1^2}$  and  $\nu_{\mathcal{H}_\beta} = -\frac{y_2'}{\alpha^2 - y_2^2}$ , so we get  $\nu_{\mathcal{H}_\beta} = \nu_{\mathcal{U}_\alpha}$ .  $\square$

**Remark 4.14.** The vertical cylinder  $\mathbb{S}^1 \times \mathbb{R}$  is globally invariant by conjugation, but the vertical lines and the horizontal circles are exchanged. For example, a rectangle of height  $t$  and whose basis is an arc of angle  $\theta$  becomes a rectangle of height  $\theta$  and whose basis is an arc of angle  $t$ .

The horizontal sphere  $\mathbb{S}^2 \times \{0\}$  is pointwise invariant by conjugation (since it satisfies  $S = 0$  and  $T = 0$ ).

**Remark 4.15.** The horizontal projections of helicoids and unduloids are the Gauss maps of constant mean curvature Delaunay surfaces in  $\mathbb{R}^3$ : helicoids in  $\mathbb{S}^2 \times \mathbb{R}$  come from nodoids in  $\mathbb{R}^3$  and unduloids in  $\mathbb{S}^2 \times \mathbb{R}$  come from unduloids in  $\mathbb{R}^3$ . This correspondance is described in [Ros03].

**4.3. Helicoids and generalized catenoids in  $\mathbb{H}^2 \times \mathbb{R}$ .** Apart from the horizontal planes  $\mathbb{H}^2 \times \{t\}$  and the vertical planes  $\mathbb{H}^1 \times \mathbb{R}$  ( $\mathbb{H}^1$  being a geodesic of  $\mathbb{H}^2$ ), the most simple examples of minimal surfaces in  $\mathbb{H}^2 \times \mathbb{R}$  are helicoids and catenoids. These surfaces are described in [PR99] and [NR02]. They are properly embedded. Catenoids are rotational; helicoids are invariant by a screw motion and foliated by geodesics of  $\mathbb{H}^2$ .

More generally, Hauswirth classified minimal surfaces in  $\mathbb{H}^2 \times \mathbb{R}$  foliated by horizontal curves of constant curvature in  $\mathbb{H}^2$  ([Hau03]). These surfaces form a two-parameter family. This family includes, among others, catenoids, helicoids and Riemann-type examples. All the surfaces described in this section belong to the Hauswirth family.

*Helicoids.* For  $\beta \neq 0$ , the helicoid  $\mathcal{H}_\beta$  is given by the following conformal immersion:

$$x(u, v) = \begin{pmatrix} \cosh \varphi(u) \\ \sinh \varphi(u) \cos \beta v \\ \sinh \varphi(u) \sin \beta v \\ v \end{pmatrix},$$

where the function  $\varphi$  satisfies

$$(13) \quad \varphi'(u)^2 = 1 + \beta^2 \sinh^2 \varphi(u), \quad \varphi''(u) = \beta^2 \sinh \varphi(u) \cosh \varphi(u).$$

We can assume that  $\varphi(0) = 0$  and  $\varphi'(u) > 0$ . The function  $\varphi$  is defined on a bounded interval. When  $\beta > 0$  we say that  $\mathcal{H}_\beta$  is a right helicoid; when  $\beta < 0$  we say that  $\mathcal{H}_\beta$  is a left helicoid.

The normal to  $\mathcal{H}_\beta$  in  $\mathbb{H}^2 \times \mathbb{R}$  is

$$N(u, v) = \frac{1}{\varphi'(u)} \begin{pmatrix} 0 \\ \sin \beta v \\ -\cos \beta v \\ \beta \sinh \varphi(u) \end{pmatrix}.$$

now  $\beta > 0$ . we compute that the matrix of S in the frame  $(\frac{\partial}{\partial u}, \frac{\partial}{\partial v})$  is the following:

$$-\frac{\beta \cosh \varphi(u)}{\varphi'(u)^2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

We also have

$$T = \frac{1}{\varphi'(u)^2} \frac{\partial}{\partial v}, \quad \nu = \frac{\beta \sinh \varphi(u)}{\varphi'(u)}.$$

**Remark 4.16.** When  $\beta = 0$ , the fomula defines a vertical plane  $\mathbb{H}^1 \times \mathbb{R}$ . When  $\beta \rightarrow \infty$ , the surface converges to the foliation by horizontal planes  $\mathbb{H}^2 \times \{t\}$ .

*Catenoids.* For  $\alpha \neq 0$ , the catenoid  $\mathcal{C}_\alpha$  is given by the following conformal immersion:

$$x(u, v) = \begin{pmatrix} \cosh \psi(u) \\ \sinh \psi(u) \cos \alpha v \\ \sinh \psi(u) \sin \alpha v \\ u \end{pmatrix},$$

where the function  $\psi$  satisfies

$$(14) \quad 1 + \psi'(u)^2 = \alpha^2 \sinh^2 \psi(u), \quad \psi''(u) = \alpha^2 \sinh \psi(u) \cosh \psi(u).$$

We can assume that  $\psi'(0) = 0$  and  $\psi(u) > 0$ . The function  $\psi$  is defined on the interval  $(-u_0, u_0)$  with

$$u_0 = \int_{\psi(0)}^{\infty} \frac{d\psi}{\sqrt{\alpha^2 \sinh^2 \psi - 1}} = \int_1^{\infty} \frac{dx}{\sqrt{(x^2 + \alpha^2)(x^2 - 1)}}.$$

Thus we have

$$u_0 < \int_1^{\infty} \frac{dx}{x\sqrt{x^2 - 1}} = \frac{\pi}{2}.$$

This proves that the height of the catenoid  $\mathcal{C}_\alpha$  is smaller than  $\pi$ ; moreover the height tends to 0 when  $\alpha \rightarrow \infty$  and to  $\pi$  when  $\alpha \rightarrow 0$  (theorem 1 in [NR02] holds for  $t \in (0, \frac{\pi}{2})$ ). The function  $\psi$  is decreasing on  $(-u_0, 0)$  and increasing on  $(0, u_0)$ . The waist circle is given by  $u = 0$ .

The normal to  $\mathcal{C}_\alpha$  in  $\mathbb{H}^2 \times \mathbb{R}$  is

$$N(u, v) = \frac{1}{\alpha \sinh \psi(u)} \begin{pmatrix} -\sinh \psi(u) \\ -\cosh \psi(u) \cos \alpha v \\ -\cosh \psi(u) \sin \alpha v \\ \psi'(u) \end{pmatrix}.$$

We compute that the matrix of S in the frame  $(\frac{\partial}{\partial u}, \frac{\partial}{\partial v})$  is the following:

$$-\frac{\alpha \cosh \psi(u)}{1 + \psi'(u)^2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

We also have

$$T = \frac{1}{1 + \psi'(u)^2} \frac{\partial}{\partial u}, \quad \nu = \frac{\psi'(u)}{\alpha \sinh \psi(u)}.$$

*A minimal surface foliated by horocycles.* We search a minimal surface such that each horizontal curve is a horocycle in  $\mathbb{H}^2$  and such that all the horocycles have the same asymptotic point. Such a surface can be parametrized in the following way:

$$x(u, v) = \begin{pmatrix} \frac{\lambda(u)}{2} + \frac{1+f(u,v)^2}{2\lambda(u)} \\ f(u, v) \\ -\frac{\lambda(u)}{2} + \frac{1+f(u,v)^2}{2\lambda(u)} \\ u \end{pmatrix}$$

with  $\lambda > 0$  and  $\frac{\partial f}{\partial v} > 0$ . This immersion is conformal if and only if

$$\frac{\partial f}{\partial u} = \frac{f\lambda'}{\lambda}, \quad \left(\frac{\partial f}{\partial v}\right)^2 = 1 + \left(\frac{\lambda'}{\lambda}\right)^2.$$

We deduce from the second relation that  $\frac{\partial^2 f}{\partial v^2} = 0$ , and so

$$f(u, v) = \alpha(u)v + \beta(u).$$

Reporting in the first relation we get

$$\frac{\alpha'}{\alpha} = \frac{\beta'}{\beta} = \frac{\lambda'}{\lambda}.$$

The immersion is minimal if and only if  $\Delta x$  is proportional to the normal  $\bar{N}$  to  $\mathbb{H}^2 \times \mathbb{R}$ ; a computation shows that this happens if and only if  $(\lambda')^2 + \alpha^2 \lambda^2 = \lambda \lambda''$ , i.e., if and only if  $2(\lambda')^2 + \lambda^2 = \lambda \lambda''$ , or, equivalently,

$$\left(\frac{1}{\lambda}\right)'' = -\frac{1}{\lambda}.$$

Up to a reparametrization and an isometry of  $\mathbb{H}^2$  we can choose  $\lambda(u) = \alpha(u) = \frac{1}{\cos u}$  for  $u \in (-\frac{\pi}{2}, \frac{\pi}{2})$  and  $\beta(u) = 0$ . Thus we get the following proposition.

**Proposition 4.17.** *The map*

$$x(u, v) = \begin{pmatrix} \frac{v^2+1}{2 \cos u} + \frac{\cos u}{2} \\ \frac{v}{\cos u} \\ \frac{v^2-1}{2 \cos u} + \frac{\cos u}{2} \\ u \end{pmatrix}$$

*defined for  $(u, v) \in (-\frac{\pi}{2}, \frac{\pi}{2}) \times \mathbb{R}$  is a conformal minimal embedding such that the curves  $u = u_0$  are horocycles in  $\mathbb{H}^2$  having the same asymptotic point. We will denote this surface by  $\mathcal{C}_0$ .*

*Morover, the surface  $\mathcal{C}_0$  is the unique one (up to isometries of  $\mathbb{H}^2 \times \mathbb{R}$ ) having this property.*

In the upper half-plane model for  $\mathbb{H}^2$ , the curve at height  $u$  of  $\mathcal{C}_0$  is the horizontal Euclidean line  $x_2 = \cos u$ . Figure 1 is a picture of  $\mathcal{C}_0$  (in this picture the model for  $\mathbb{H}^2$  is the Poincaré unit disk model). The surface  $\mathcal{C}_0$  has height  $\pi$ . It is symmetric with respect to the horizontal plane  $\mathbb{H}^2 \times \{0\}$  and it is invariant by a one-parameter family of horizontal parabolic isometries.

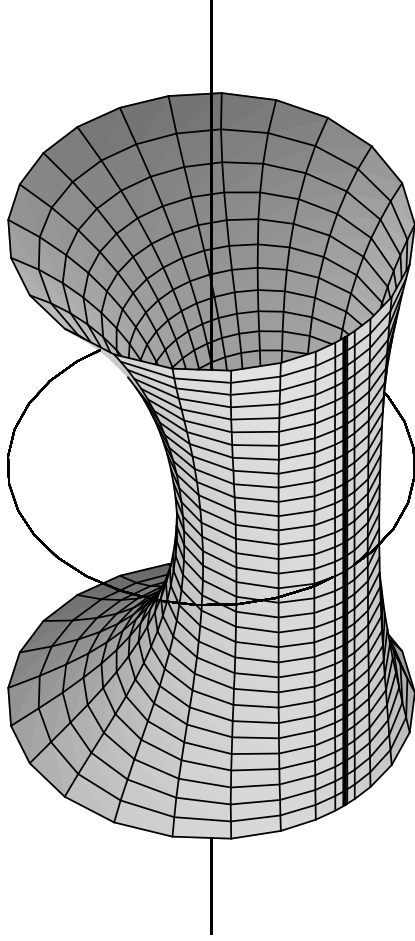


FIGURE 1. A minimal surface in  $\mathbb{H}^2 \times \mathbb{R}$  foliated by horocycles.

The normal to  $\mathcal{C}_0$  in  $\mathbb{H}^2 \times \mathbb{R}$  is

$$N(u, v) = \begin{pmatrix} -\frac{v^2+1}{2} + \frac{\cos^2 u}{2} \\ -v \\ \frac{1-v^2}{2} + \frac{\cos^2 u}{2} \\ \sin u \end{pmatrix}.$$

We compute that the matrix of  $S$  in the frame  $(\frac{\partial}{\partial u}, \frac{\partial}{\partial v})$  is the following:

$$-\cos u \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

We also have

$$T = \cos^2 u \frac{\partial}{\partial u}, \quad \nu = \sin u.$$



*Minimal surfaces foliated by equidistants.* For  $\gamma \in (0, 1)$  or  $\gamma \in (-1, 0)$ , we consider the following immersion:

$$x(u, v) = \begin{pmatrix} \cosh \chi(u) \cosh \gamma v \\ \sinh \chi(u) \\ \cosh \chi(u) \sinh \gamma v \\ u \end{pmatrix}.$$

with

$$(15) \quad 1 + \chi'(u)^2 = \gamma^2 \cosh^2 \chi(u), \quad \chi''(u) = \gamma^2 \cosh \chi(u) \sinh \chi(u).$$

It is a conformal minimal immersion.

We choose  $\chi$  such that  $\chi'(0) = 0$  and  $\chi(u) > 0$ . The function  $\chi$  is defined on the interval  $(-u_0, u_0)$  with

$$u_0 = \int_{\chi(0)}^{\infty} \frac{d\chi}{\sqrt{\gamma^2 \cosh^2 \chi - 1}} = \int_1^{\infty} \frac{dx}{\sqrt{(x^2 - \gamma^2)(x^2 - 1)}}.$$

Thus we have

$$u_0 > \int_1^{\infty} \frac{dx}{x\sqrt{x^2 - 1}} = \frac{\pi}{2}.$$

We have defined a minimal surface  $\mathcal{G}_\gamma$ , which we call a generalized catenoid. Its height is greater than  $\pi$ , tends to  $\pi$  when  $\gamma \rightarrow 0$  and to  $+\infty$  when  $\gamma \rightarrow 1$ . The function  $\chi$  is decreasing on  $(-u_0, 0)$  and increasing on  $(0, u_0)$ . The surface is symmetric with respect to the horizontal plane  $\mathbb{H}^2 \times \{0\}$  and it is invariant by a one-parameter family of horizontal hyperbolic isometries. The horizontal curves are equidistants to a geodesic in  $\mathbb{H}^2$ .

The normal to  $\mathcal{G}_\gamma$  in  $\mathbb{H}^2 \times \mathbb{R}$  is

$$N(u, v) = -\frac{1}{\gamma \cosh \chi(u)} \begin{pmatrix} \sinh \chi(u) \cosh \gamma v \\ \cosh \chi(u) \\ \sinh \chi(u) \sinh \gamma v \\ -\chi'(u) \end{pmatrix}.$$

We compute that the matrix of S in the frame  $(\frac{\partial}{\partial u}, \frac{\partial}{\partial v})$  is the following:

$$-\frac{\gamma \sinh \chi(u)}{1 + \chi'(u)^2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

We also have

$$T = \frac{1}{1 + \chi'(u)^2} \frac{\partial}{\partial u}, \quad \nu = \frac{\chi'(u)}{\gamma \cosh \chi(u)}.$$

**Remark 4.18.** When  $\gamma = \pm 1$ , the formula defines a vertical plane  $\mathbb{H}^1 \times \mathbb{R}$ .

**Proposition 4.19.** *The conjugate surface of the catenoid  $\mathcal{C}_\alpha$  is the helicoid  $\mathcal{H}_\beta$  with  $\beta^2 = 1 + \alpha^2$  and  $\alpha, \beta$  having the same sign.*

*Proof.* We set  $y_1(u) = \alpha \cosh \psi(u)$  and  $y_2(u) = \beta \cosh \varphi(u)$ . A computation shows that both  $y_1$  and  $y_2$  are solutions of the following equation:

$$(y')^2 = (y^2 - \alpha^2)(y^2 - \beta^2),$$

and hence of the following equation:

$$y'' = y(2y^2 - \alpha^2 - \beta^2).$$

We have  $\psi'(0) = 0$  and so by (14) we have  $y_1(0)^2 = \beta^2$  and thus  $y_1'(0) = 0$ , and  $\varphi(0) = 0$  so  $y_2(0) = \beta$  and thus  $y_2'(0) = 0$ . Moreover,  $y_1(0)$  has the sign of  $\alpha$ , i.e., the sign of  $\beta$ , so we get  $y_1(0) = \beta$ . By the Cauchy-Lipschitz theorem we conclude that  $y_1 = y_2$  (and in particular they have the same domain of definition). From this we deduce using (14) and (13) that  $\varphi'(u)^2 = 1 + \psi'(u)^2$ , and thus  $\mathcal{C}_\alpha$  and  $\mathcal{H}_\beta$  are locally isometric,  $S_{\mathcal{H}_\beta} = JS_{\mathcal{C}_\alpha}$  and  $T_{\mathcal{H}_\beta} = JT_{\mathcal{C}_\alpha}$ . Finally we have  $\nu_{\mathcal{C}_\alpha} = \frac{y_1'}{y_1^2 - \alpha^2}$  and  $\nu_{\mathcal{H}_\beta} = \frac{y_2'}{y_2^2 - \alpha^2}$ , so we get  $\nu_{\mathcal{H}_\beta} = \nu_{\mathcal{C}_\alpha}$ .  $\square$

**Proposition 4.20.** *The conjugate surface of the surface  $\mathcal{C}_0$  is the helicoid  $\mathcal{H}_1$ .*

*Proof.* In the case where  $\beta = 1$ , the function  $\varphi$  satisfies  $\varphi' = \cosh \varphi$ , and thus we have  $\varphi(u) = \ln(\tan(\frac{u}{2} + \frac{\pi}{4}))$ ,  $\varphi'(u) = \frac{1}{\cos u}$  and  $\sinh \varphi(u) = \tan u$ . Then, using the above calculations, we easily check that  $\mathcal{C}_0$  and  $\mathcal{H}_1$  are locally isometric, and that  $S_{\mathcal{H}_1} = JS_{\mathcal{C}_0}$ ,  $T_{\mathcal{H}_1} = JT_{\mathcal{C}_0}$ ,  $\nu_{\mathcal{H}_1} = \nu_{\mathcal{C}_0}$ .  $\square$

**Remark 4.21.** The conjugate surface of the surface  $\mathcal{C}_0$  with the opposite orientation is the helicoid  $\mathcal{H}_{-1}$ .

**Proposition 4.22.** *The conjugate surface of the generalized catenoid  $\mathcal{G}_\gamma$  is the helicoid  $\mathcal{H}_\beta$  with  $\beta^2 + \gamma^2 = 1$  and  $\beta, \gamma$  having the same sign.*

*Proof.* We set  $y_1(u) = \gamma \sinh \chi(u)$  and  $y_2(u) = \beta \cosh \varphi(u)$ . A computation shows that both  $y_1$  and  $y_2$  are solutions of the following equation:

$$(y')^2 = (y^2 + \gamma^2)(y^2 - \beta^2),$$

and hence of the following equation:

$$y'' = y(2y^2 + \gamma^2 - \beta^2).$$

We have  $\chi'(0) = 0$  and so by (15) we have  $y_1(0)^2 = \beta^2$  and thus  $y_1'(0) = 0$ , and  $\varphi(0) = 0$  so  $y_2(0) = \beta$  and thus  $y_2'(0) = 0$ . Moreover,  $y_1(0)$  has the sign of  $\gamma$ , i.e., the sign of  $\beta$ , so we get  $y_1(0) = \beta$ . By the Cauchy-Lipschitz theorem we conclude that  $y_1 = y_2$  (and in particular they have the same domain of definition). From this we deduce using (15) and (13) that  $\varphi'(u)^2 = 1 + \chi'(u)^2$ , and thus  $\mathcal{G}_\gamma$  and  $\mathcal{H}_\beta$  are locally isometric,  $S_{\mathcal{H}_\beta} = JS_{\mathcal{G}_\gamma}$  and  $T_{\mathcal{H}_\beta} = JT_{\mathcal{G}_\gamma}$ . Finally we have  $\nu_{\mathcal{G}_\gamma} = \frac{y_1'}{y_1^2 + \gamma^2}$  and  $\nu_{\mathcal{H}_\beta} = \frac{y_2'}{y_2^2 + \gamma^2}$ , so we get  $\nu_{\mathcal{H}_\beta} = \nu_{\mathcal{G}_\gamma}$ .  $\square$

**Remark 4.23.** This study shows that there are three types of helicoid conjugates according to the parameter of the screw-motion associated to the helicoid: the first type ones are the catenoids, which are rotational surfaces, the second type one is  $\mathcal{C}_0$ , which is invariant by a one-parameter family of horizontal parabolic isometries and which corresponds to a critical value of the parameter, the third type ones are the generalized catenoids, which are invariant a one-parameter family of horizontal hyperbolic isometries.

This phenomenon is very similar to what happens for the conjugate cousins in  $\mathbb{H}^3$  of the helicoids in  $\mathbb{R}^3$ . There exists an isometric correspondance between minimal surfaces in  $\mathbb{R}^3$  and constant mean curvature one surfaces in  $\mathbb{H}^3$  called the cousin relation (see [Bry87] and [UY93]). Starting from a helicoid in  $\mathbb{R}^3$ , we consider its conjugate surface, which is a catenoid in  $\mathbb{R}^3$ , and then the cousin surface in  $\mathbb{H}^3$ , which is a catenoid cousin. Catenoid cousins are of three types according to the parameter of the minimal helicoid: some are rotational surfaces, one is invariant by

a one-parameter family of parabolic isometries (and corresponds to a critical value of the parameter), some are invariant by a one-parameter family of hyperbolic isometries. These surfaces are described in details in [SET01] and [Ros02a].

**Remark 4.24.** All the above surfaces belong to the Hauswirth family: with the notations of [Hau03], helicoids correspond to  $d = 0$ ,  $c > 0$ ,  $c \neq 1$ ; catenoids correspond to  $c = 0$ ,  $d > 1$ ; the surface  $\mathcal{C}_0$  corresponds to  $c = 0$ ,  $d = 1$ ; the surfaces  $\mathcal{G}_\gamma$  correspond to  $c = 0$ ,  $d \in (0, 1)$ .

**Remark 4.25.** The vertical plane  $\mathbb{H}^1 \times \mathbb{R}$  is globally invariant by conjugation, but the vertical lines and the horizontal geodesics of  $\mathbb{H}^2$  are exchanged. The horizontal plane  $\mathbb{H}^2 \times \{0\}$  is pointwise invariant by conjugation (since it satisfies  $S = 0$  and  $T = 0$ ). This is similar to what happens in  $\mathbb{S}^2 \times \mathbb{R}$ .

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